1	Note and Comments					
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3	Title:					
4	Reduction of photosynthesis before midday depression occurred: leaf photosynthesis of					
5	Fagus crenata in relation to canopy position and a number of days after rainfall.					
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16 Abstract

17 We investigated an effect of canopy position and a number of days after rainfall on 18 reduction of photosynthetic rate in a Fagus crenata forest in summer 2008, during days 19 when midday depression was not apparent. We compared in-situ photosynthetic rate and 20 photosynthetic rate that was calculated by photosynthetic light response curves 21 measured in the morning. The ratio, in-situ photosynthesis divided by the 22 curve-estimated value, declined towards the end of each day for the upper leaves, but 23 not for the lower leaves. Total photosynthesis was reduced only for the upper leaves by 24 12% during five days after the rainfall.

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Key words: Photosynthesis; stomatal conductance; net primary production; temperate
forest; *Fagus crenata* Blume.

28

29 Introduction

Temperate forests are carbon sinks against climate change (Granier et al. 2000; 2002; Luyssaert et al. 2008; Saigusa et al. 2008; Kato and Tang 2008; Ito 2008; 2010; Koyama & Kikuzawa 2010a; Joo et al. 2011). The "big leaf model" extended single-leaf responses (Farquhar 1989) into whole-plant responses under the same scheme, and proposed simple relations predicting ecosystem carbon gain based on an optimization hypothesis (Sellers et al. 1992; Dewar et al. 1996; Haxeltine and Prentice 1996; 36 Terashima et al. 2005; Koyama and Kikuzawa 2009; 2010b). Recently, the big leaf 37 model was validated even under fluctuating light intensity, both theoretically (Koyama 38 and Kikuzawa 2010b), and empirically (Rosati and DeJong 2003; Rosati et al. 2004; 39 Posada et al. 2009; Koyama and Kikuzawa 2010b). However, there have also been 40 countless results showing that the optimization was not always realized (Field 1983; 41 Hirose and Werger 1987; Meir et al. 2002; Posada et al. 2009). One possible explanation 42 for this discrepancy is that photosynthesis is not only limited by light, but also by other 43 factors (Mooney and Gulmon 1979; Meir et al. 2002; Niinemets and Valladares 2004). 44 Even when light is plentiful, both stomatal and non-stomatal limitation plays important 45 roles for reducing in-situ photosynthetic rate for trees (Ishida et al. 1999a; Muraoka et al. 46 2000; Niinemets et al. 2004; Valladares and Pearcy 2002; Misson et al. 2010). In this 47 study, we will demonstrate that photosynthesis of upper leaves on *Fagus crenata* forest 48 was not solely determined by light, but also by stomatal limitation.

Leaf stomatal conductance is controlled by many factors. External environment such as air humidity (Jarvis 1976; Tenhunen et al. 1987; Ball et al. 1987; Harley and Tenhunen 1991; Leuning 1995; see review by Damour et al. 2010) controls stomata via increment of transpiration (Mott and Parkhurst 1991; Mott and Peak 2010). However, Damour et al. (2010) concluded that in addition to those leaf-level responses, including an effect of soil water condition is needed. Tazaki et al (1980) reported that upper leaves of mulberry tree showed no midday depression in the second day after the last rainfall, but apparent depression occurred in the eighth day. Nakai et al. (2010) also reported in the experiment of *Salix gracilistyla* cuttings, that the longer the drought treatment, the more stomatal limitation on photosynthesis. However, those studies did not investigate the difference of stomatal limitation between canopy positions.

60 Within a single canopy, a limiting factor for photosynthesis may differ among 61 different positions. Firstly, leaves from different positions within a canopy themselves 62 differ morphologically, chemically, anatomically and physiologically. This is observed 63 in Fagus crenata (Uemura et al. 2000; Yamasaki and Kikuzawa 2003; Iio et al. 2005; 64 2009; Koyama and Kikuzawa 2010ab), other temperate deciduous trees (Niinemets 65 1995; 2010; Koyama and Kikuzawa 2010b; Yoshimura 2010) and temperate evergreens 66 (Hozumi and Kirita 1972; Katahata et al. 2007). Also, leaves on higher position may 67 have smaller water reserves (Jarvis 1976) and / or longer water pathway from root 68 (Ryan et al. 2006). Hence, it is expected that a degree of stomatal limitation of 69 photosynthesis should differ among different canopy positions. However, in most of the 70 previous studies, the effect of canopy position was not investigated (cf. Tazaki et al. 71 1980; Zotz and Winter 1996; Muraoka et al. 2000; Ishida et al. 1999a; 2000; Valladares 72 et al. 2008; Misson et al. 2010; Nakai et al. 2010). For other studies which investigated 73 different canopy positions, the temporal pattern in relation to soil water conditions was 74 not investigated (cf. Muraoka and Koizumi 2005; Iio et al. 2009). To date, knowledge 75 on the interaction between soil water condition and canopy position is very limited (cf.

76	Niinemets et al. 2004). Regarding the above argument, it is necessary to simultaneously
77	investigate both of the above two factors (Niinemets and Valladares 2004). The aim of
78	this study is to elucidate spatial and temporal pattern of efficiency of photosynthetic rate
79	under field condition in a Japanese beech forest (Fagus crenata Blume). We tested the
80	following two hypotheses. (1) Daytime reduction of photosynthesis was apparent for the
81	upper leaves, but not for the lower leaves. (2) This reduction was interactively affected
82	by the soil water condition. There will be no apparent reduction in daily photosynthetic
83	rate just after a rainfall, but will be a significant reduction when the number of days
84	after the last rainfall increased.

- 85
- 86 Methods

87 Species and Site

88 Fagus crenata Blume is a late-successional deciduous canopy tree distributed in 89 mountainous regions in Japan (Matsui et al. 2004). The site was a 15-year-old plantation 90 in the Ishikawa Prefectural Forest Experiment Station (36°25'N, 136°38'E, elevation 91 220 m), which is located at the foot of Mt. Hakusan. At the plantation, 130 trees were 92 planted in 1995 in the area of 171 m². Those trees were grown by seeds from Mt. 93 Hakusan (Jiro Kodani, personal communication), and hence they should belong to the 94 Japan Sea coast haplotypes (cf. Okaura and Harada 2002). The stand height and the 95 mean DBH were around 6 m and 4.7 cm in 2008, respectively. The canopy was closed 96 and there was almost no vegetation on the forest floor.

97	The site climate was one of the typical Japan Sea coast regions, characterized
98	by heavy snowfall in winter. The measurement was conducted from 27 July to 1 August
99	2008, when the summer rainy season was finished. Mean annual temperature and mean
100	annual precipitation are 13.0 °C and 2438 mm, respectively (2003 - 2007). Mean
101	temperature in August was 25.0 $^{\circ}$ C (2003 – 2007), being the hottest month. However, in
102	August there usually was no severe drought in that region, and rainfall typically occurs
103	at least in every one week (Koyama K, personal obs.). Mean monthly precipitation was
104	184 mm (2003 $-$ 2007), which was slightly lower than the mean monthly precipitation
105	(203 mm). The mean temperature and total precipitation in 2008 was 12.8 °C and 2229
106	mm, respectively. The mean temperature and the total precipitation in August 2008 was
107	24.2 °C and 182 mm, respectively, being not greatly different from the normal years.
108	The above data were from the Annual Report of the Ishikawa Prefectural Forest
109	Experiment Station (2003 – 2008).

110

111 Photosynthesis measurement

A total of 91 mm rainfall was observed within two days in 27 and 28 July 2008 at the site (data from the Ishikawa Prefectural Forest Experiment Station, by courtesy of Dr. Jiro Kodani). There was no rain during successive measurement days as confirmed by a plastic funnel-top container set above the canopy. The measurements were conducted on

116	the 1st, the 3rd and the 5th day after the last rainfall on 28 July. We used two portable
117	photosynthesis systems (LI-6400, LI-COR, Lincoln, USA), with CO ₂ supply inside the
118	chambers kept at 350 ppm. Four leaves on the upper part of the canopy (i.e. "the upper
119	leaves") were selected from one branch at the height of 5.7 m. Those were accessed by a
120	scaffolding tower. Another four leaves on the lower canopy (i.e. "the lower leaves")
121	were selected from one branch on an adjacent tree at the height of 1.5 m, as there were
122	no accessible lower leaves on the same tree. For each position (the upper and lower),
123	incident photosynthetically active photon flux density (PPFD) were measured for seven
124	times with 1 - 2 hours intervals during daytime (8:30 - 16:00) with a quantum sensor
125	(IKS-27, KOITO Kogyo, Yokohama, Japan) placed above the branch of those leaves.
126	Hence, the angle of each leaf was not considered in this study. Net photosynthetic rates
127	of those intact leaves at each moment were measured by the two LI-6400s under the
128	PPFD of the same intensity just recorded on those leaves on each occasion, which were
129	supplied by the LED light sources (LI-6400-02B). On each occasion, the PPFDs were
130	kept constant until the equilibration of the leaves (typically, 20 - 40 min). Leaf
131	conductance to $H_2O(g)$ (mol m ⁻² s ⁻¹), leaf temperature, and vapor pressure deficit based
132	on leaf temperature (VPD) (kPa) were simultaneously calculated by the LI-6400s. In the
133	following, we will regard g as equivalent to leaf stomatal conductance, assuming that
134	leaf boundary layer resistance was negligible. Immediately after each occasion of the
135	photosynthetic measurement, dark respiration rate was measured with zero light.

136 We simultaneously measured one photosynthetic light response curve for each 137 of the same sample leaves with the same LI-6400s. Those measurements were 138 conducted from 9:30 to 11:30 a.m., intermittently between the in-situ photosynthesis 139 measurements described above. For the lower leaves, all the four leaves were measured 140 on the 1st day. For the upper leaves, as we could not finish the measurement on the 1st 141 day, each two of the four leaves were measured on the 1st and the 3rd day. On each 142 measurement, the leaves were firstly induced by PPFD = 1500 μ mol m⁻² s⁻¹ until 143 equilibration. Then, PPFD was changed from higher to lower (2000, 1500, 1000, 750, 500, 250, 125, 63, 32 and 0 μ^{-2} s⁻¹) with the LEDs. On each occasion of the 144 145 change, PPFD was kept constant until the equilibration of the leaves. During those 146 light-response measurements, leaf temperatures were not controlled and ranged 28.6 °C 147 -33.2 °C and 28.1 °C -31.9 °C for the upper and the lower leaves, respectively.

148

149 Data analysis

Diurnal course of in-situ gross photosynthetic rate (P_{g_mes} , µmol m⁻² s⁻¹) for each leaf was calculated as the sum of net photosynthesis and dark respiration rate at each moment. We set another open-sky PPFD sensor set above the canopy during the measurement days, and we set P_{g_mes} at the dawns and the sunsets as both zeros (i.e. when the open-sky PPFD became zero) on each day. Daily photosynthesis for each leaf was estimated by interpolations of those P_{g_mes} values. 156 calculated hypothetical We alternatively diurnal courses of gross 157 photosynthetic rate, estimated solely by the diurnal change of the light intensity. Each 158 net photosynthetic rate during the light-response measurement (from PPFD 0 to 2000 159 μ mol m⁻² s⁻¹, see above) were converted to gross photosynthetic rate by adding dark 160 respiration rate (PPFD = 0). Then, non-rectangular hyperbola (Marshall and Biscoe 161 1980) was fitted by KaleidaGraph 4 (Synergy Software, Reading, USA) ($r^2 > 0.997$):

162

163
$$P_{g_{cv}} = \frac{\Phi I + P_{max} - \sqrt{(\Phi I + P_{max})^2 - 4\theta \Phi I P_{max}}}{2\theta}$$
 (µmol m⁻² s⁻¹) Eq. 1

164

165 In Eq. 1, $P_{\rm g \ cv}$ (µmol m⁻² s⁻¹) indicates a curve-estimated gross photosynthetic rate at 166 each incident PPFD intensity (I, μ mol m⁻² s⁻¹). P_{max} indicates maximum gross 167 photosynthetic rate of that leaf when I approaches infinity. The other two parameters, ϕ 168 (µmol µmol⁻¹) and θ (dimensionless) indicate initial slope and convexity, respectively. The curve-estimated photosynthetic rate ($P_{g_{cv}}$, µmol m⁻² s⁻¹) at each time was estimated 169 170 by substituting the same incident PPFD as was used in the in-situ photosynthetic rate 171 described above into Eq.1 with each different set of parameters P_{max} , ϕ and θ for each 172 different leaf. We used each single set of parameters for each leaf, such that same 173 photosynthetic light response curves were assumed throughout the five measurement 174 days. In other words, we hypothetically fixed conditions of the leaves at the time of 175 light-response curve measurements, and only PPFD was changed as the same way as 176 the actual diurnal courses.

177

178 Efficiency of photosynthesis

179 Actual photosynthetic rate ($P_{g mes}$) should be under the effect of diurnal change of both 180 light intensity and all the other factors. In contrast, hypothetical $P_{g cv}$ should be 181 independent of diurnal change of the factors other than light. Then, the ratio between the 182 above two values (P_{g_mes} / P_{g_cv}) will be interpreted as diurnal change of efficiency of 183 photosynthesis, as affected by the non-light limitation. This ratio should be reduced, 184 when in-situ photosynthesis at that moment ($P_{g mes}$) was reduced by non-light factors. 185 Note that $P_{g_{cv}}$ (and hence $P_{g_{mes}} / P_{g_{cv}}$ as well) also depends on the non-light factors at 186 the time of the measurement of the photosynthetic light response curve, but was 187 independent of "diurnal change" of non-light factors, as we fixed each leaf at one 188 particular time of the day. Because of this, P_{g_mes} / P_{g_cv} can be higher than unity, when 189 photosynthesis was limited less compared with the time of the measurement of 190 photosynthetic light response curves.

191

192 Statistics

193 Linear regressions and Pearson's correlation coefficients (r) were calculated by SPSS

194 13.0J for Windows (SPSS Japan Inc, Tokyo, Japan).

195 Results

Upper leaves had higher maximum gross photosynthetic rate $(P_{g_{max}})$ and dark 196 197 respiration rate (Table 1), which is consistent with the previous studies (Iio et al. 2005; 198 Koyama and Kikuzawa 2010b). Diurnal course of in situ gas exchange rate (P_{g_mes}) 199 basically followed incident PPFD both for the upper and the lower leaves, except in the 200 afternoon on the fifth day (Fig. 1a). There were no apparent "midday" depressions for 201 the both positions. The only visible difference was found in the upper leaves on the 5th 202 day, in which afternoon photosynthetic rate was apparently lower than that in the 203 morning. Diurnal course of VPD and leaf temperature showed similar pattern as that of 204 PPFDs (Fig. 1c). Leaf conductance to $H_2O(g)$ tended to decline towards the end of each 205 day (Fig. 1b). This trend was evident on all the days for the upper leaves, but only on 206 the 5th day for the lower leaves. The actual in-situ daily gross photosynthetic rate 207 averaged over the three measurement days was 0.35 and 0.042 (mol m⁻² day⁻¹) for the 208 upper and lower leaves, respectively.

Although "midday depression" was not apparent, a clear difference was detected between canopy positions when we compare P_{g_mes} / P_{g_cv} (Fig. 2). For the upper leaves P_{g_mes} / P_{g_cv} linearly declined towards the end of each day. In contrast, for the lower leaves P_{g_mes} / P_{g_cv} only fluctuated around the mean value for all the days. The slope of the decline of the upper leaves became steeper (i.e. more negative), as the number of days after the last rain increased (Fig. 3). The P_{g_mes} / P_{g_cv} were strongly and 215 positively

positively correlated with leaf conductance to H_2O for the upper leaves, but there were no consistent relationships for the lower leaves (Fig. 4).

217

216

218 Discussion

219 We found a clear difference between canopy positions; only the upper leaves showed 220 declining trends in photosynthetic efficiency (Fig. 2). We estimated the percentage loss 221 of daily gross photosynthetic rate due to this decline as follows. When $P_{\rm g mes} / P_{\rm g cv}$ of 222 one particular time (say, 15:00) on one day was 0.85, while $P_{g \text{ mes}} / P_{g \text{ cv}}$ of the earliest 223 morning within that day was 1.1 (see Fig. 2 upper), we calculated the ratio 1.1 / 0.85 (= 224 1.3). It means that leaves in the earliest morning can conduct 1.3 times more 225 photosynthesis than the actual leaf exists at 15:00 under the same PPFD observed at 226 15:00. Then, we calculated product of this ratio and $P_{\rm g mes}$ on each time on each day. It 227 is the estimation of hypothetical photosynthetic rate conducted by a leaf, being "fixed" 228 at the condition of that in the earliest morning, whilst PPFD on that leaf was changed as 229 the same way as the actual diurnal course. Finally, we calculated potential gross daily 230 photosynthetic rate by the interpolation of those values as the same manner as the above 231 actual ones. Compared with those potential values, actual gross daily photosynthetic 232 rates were 12% and 1% less for the upper and the lower leaves, respectively. Hence, the 233 reduction was modest for the upper leaves, and did not exist for the lower leaves. Those 234 results supported the hypothesis that photosynthesis is constrained more greatly for the 235

upper leaves than the lower leaves (Jarvis 1976; Niinemets et al. 2004).

236 Among the upper leaves, $P_{g mes} / P_{g cv}$ decreased towards the end of each day. 237 This result supported Jarvis (1976), who suggested that water reserves within branches 238 or stems were gradually exhausted in upper canopies towards the end of each day. This 239 diurnal decline became steeper, as the number of days after the rainfall increased (Fig. 240 3). Then, the visible reduction of photosynthetic rate was eventually observed in the 241 afternoon on the fifth day (Fig. 1a). Those results are consistent with the study of a 242 mulberry tree (Tazaki et al. 1980), which showed that midday depression was not 243 evident until the second day after the last rainfall, but it was apparent from one week 244 after the rainfall. Those results were consistent with the classical scheme of the 245 periodical decline of soil water potential from morning to sunset within each day, with 246 the absolute water availability decreases as days after the last rain proceeds (Slatyer 247 1967). Kikuzawa et al. (2004) reported 46.5% reduction of daily photosynthesis for the 248 sun leaves of Alnus sieboldiana, when the apparent midday depression occurred. Our 249 results (12% loss without visible midday depression) suggests that a reduction of 250 photosynthesis does not abruptly rises up to 46% when an apparent midday depression 251 is observed, but it gradually increases from the onset of a rainless period. This indicates 252 that there may be no threshold condition for a midday depression. Hence, our results 253 depicted more general pattern than that of Tazaki et al. (1980), since it showed reduction 254 of photosynthesis before "midday depression" was apparent.

255 Stomatal limitation was expected to be higher for the upper leaves, which were 256 exposed to high light environment (Niinemets and Valladares 2004). In addition, leaves 257 on the higher position may have smaller water reserves (Jarvis 1976), longer water 258 pathway from root (Ryan et al. 2006) and, specifically for tall trees, less water potential 259 due to gravitation (Ishii et al. 2008; Nabeshima and Hiura 2008). Another mechanism 260 suggested that sunlit upper leaves may have more water supplies by a selective 261 investment of water into favorable sunlit leaves (Sprugel et al. 2002). In this study (6 m 262 height), the gravitational effect should be negligible. However, we could not separate 263 each of those confounding effects, as we selected upper and lower leaves from different 264 individuals. Hence, before generalizing our results to other forests, mechanistic 265 measurements including investigation of water supply on each position is needed to 266 separate those factors.

We did not measured photoinhibition. Actual reduction is caused by both stomatal limitation and photoinhibition (Ishida et al. 1999abc; 2000; 2001; Muraoka et al. 2000; Werner et al. 2001; Valladares and Pearcy 2002; Yamazaki et al. 2007; Misson et al. 2010). Uemura et al. (2005) discussed that the reduction of photosynthesis in another *Fagus crenata* forest was due to photoinhibition, rather than stomatal closure. Our results showed that at least some part of reduction of photosynthesis was related to stomatal closure, which did not violate the conclusion by those studies.

We used LED light-sources, such that an effect of heat loading (Ishida et al.

275 2000; Uemura et al. 2005; Iio et al. 2009; Vogel 2009) may not have been properly 276 evaluated. Although our method has a clear disadvantage in these points, it has another 277 merit than those using natural sunlight. Diurnal course of incident photosynthetic rate 278 did not show a visible midday depression on the 1st day (Fig 1a), which is consistent 279 with Tazaki et al. (1980). However, when we calculated the $P_{g \text{ mes}} / P_{g \text{ cv}}$, the reduction 280 became apparent from the 1st day (Fig. 2). Hence, the reduction was detected only when 281 we evaluated the ratio of the two kind of photosynthetic rates, $P_{g \text{ mes}}$ and $P_{g \text{ cv}}$, both of 282 which were measured by the same LEDs, so that were readily comparable.

283 The study period was during the hottest season of one year on one particular 284 ecotype of Fagus crenata. However, patterns of photosynthetic limitation should vary 285 among seasons or years (Ishida et al. 1999b; Valladares et al. 2008; Misson et al. 2010). 286 Generally, responses to environment differ among species (Turner et al. 1984; Ishida et 287 al. 1999a; Uemura et al. 2000; 2005; Turnbull et al. 2002; Oguchi et al. 2005; Valladares 288 et al. 2008; Kitaoka et al. 2009; Kamiyama et al. 2010), among ecotypes of Fagus 289 crenata (Yamazaki et al. 2007; Tateishi et al. 2010), and between microhabitats 290 (Koyama and Kikuzawa 2008; Nagano et al. 2009). A behavior of a plant can be 291 considered as a summation of simple basic principles and those situation-dependent 292 factors (Koyama and Kikuzawa 2009). Simple theories and complex factors are not 293 mutually exclusive, but are compensatory for each other.

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484		Upper	Lower	Units
485	P _{max}	13.7ª	3.8 ^b	µmol m ⁻² s ⁻¹
486	φ	0.055	0.049	mol mol ⁻¹
487 488	θ	0.21	0.55	
489	R _d	1.8 ^c	0.2 ^d	μ mol m ⁻² s ⁻¹

Table 1 The photosynthetic light response curve parameters and dark respiration rate

483

 $(R_{\rm d})$ at the time of the curve measurement.

490 Different uppercase letters: (a, b) p < 0.01 and (c, d) p < 0.05 (t - test).

491

482

492 Figure Legends (Figs. 1 - 4)

493 Fig. 1 Diurnal courses of the parameters. The left, the middle and the right column 494 shows the 1st, the 3rd and the 5th day after the last rain in 28 July 2008, respectively. 495 For each parameter but PPFD (one sensor), the mean value of the four leaves on each 496 position are respectively shown. The error bars indicate the unbiased estimate of the 497 standard deviation.

498 **a** (*Closed circles*) in situ gross photosynthetic rate of the leaves (P_{g_mes}). (*Open circles*)

499 PPFD on the branch. **b** Leaf conductance to $H_2O(g)$. **c** (*Open boxes*) leaf temperature.

500 (Open circles) vapor pressure deficit based on the leaf temperature (VPD).

502 Fig. 2 Diurnal course of $P_{g_{mes}} / P_{g_{cv}}$, the ratio between in-situ photosynthetic rate on 503 each time and photosynthetic rate estimated by photosynthetic light response curve, 504 which was measured at one particular time during measurement days for each leaf. Each 505 open circle and its error bars indicate mean value and its unbiased standard deviation, 506 respectively, for the four leaves on each canopy position. Solid lines indicate significant 507 linear regressions (*p < 0.05, ** p < 0.01): 508 509 (1st day) $P_{g \text{ mes}} / P_{g \text{ cv}} = 1.3 - 2.2 \times 10^{-2}$ Time of day (h). 510 (3rd day) $P_{\rm g mes} / P_{\rm g cv} = 1.4 - 2.9 \times 10^{-2} h.$ (5th day) $P_{g_{mes}} / P_{g_{cv}} = 1.4 - 4.1 \times 10^{-2} h.$ 511 512 513 Fig. 3 The slopes of the diurnal decline of $P_{g_{mes}} / P_{g_{cv}}$ (i.e. the slopes of the linear 514 regressions for the upper leaves shown in Fig. 2) in relation to number of days after the 515 last rain. 516 517 Fig. 4 $P_{g mes} / P_{g cv}$ in relation to g. Each open circle and its error bar indicate mean 518 value and standard deviation, respectively, for the four leaves in each position. 519 Pearson's correlation coefficients (r) were shown (* p < 0.05, *** p < 0.001). 520

521 Fig. 1



524 Fig. 2









530 Fig. 4

