1 Title:

| 2 | Neospora GRA6 possesses immune-stimulating activity and confers efficient protection   |
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| 3 | against Neospora caninum infection in mice   |
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### 21 ABSTRACT

Vaccination has the potential to be the most cost-effective control measure for reducing the 22 economic burden of neosporosis in cattle. In this study, the immune-stimulatory effect of 23 recombinant Neospora caninum dense granule protein 6 (NcGRA6) was confirmed via its 24 triggering of IL-12p40 production in murine macrophages. BALB/c mice were immunized 25 with recombinant NcGRA6 fused with glutathione S-transferase (GST) protein with or 26 without oligomannose-coated-liposomes (OMLs) as the potential adjuvant. Specific IgG1 27 antibody production was observed from 21 and 35 days after the first immunization in 28 NcGRA6+GST- and NcGRA6+GST-OML-immunized mice, respectively. However, specific 29 IgG2a was detected 1 week after the infection, and IgG2a levels of the NcGRA6+GST- group 30 were higher than those of the NcGRA6+GST-OML-group. Moreover, spleen cell 31 proliferation with concomitant interferon-gamma production was detected in mice 32 immunized with NcGRA6+GST, indicating that a significant cellular immune response was 33 induced. Mouse survival rates against N. caninum challenge infection were 91.7% for 34 NcGRA6+GST and 83.3% for NcGRA6+GST-OML, which were significantly higher than 35 those of control groups (GST-OML: 25%, phosphate-buffered saline: 16.7%). This indicates 36 37 that naked NcGRA6+GST induced protective immunity. Thus, our findings highlight the immune-stimulating potential of NcGRA6 and the ability to induce protective immunity 38 39 against N. caninum infection in mice.

40

### 41 Keywords

42 *Neospora caninum*; Vaccine; Neosporosis; NcGRA6; Vaccination; Immunity

| 44 | Abbreviations: BCA, bicinochoninic acid assay; CCK-8, cell counting kit-8; ConA,        |
|----|---|
| 45 | concanavalin A; DMEM, Dulbecco's Modified Eagle Medium; DPI, days-post infection;       |
| 46 | ELISA, enzyme-linked immunosorbent assay; FBS, fetal bovine serum; HRP, horse radish    |
| 47 | peroxidase; IFN-y, interferon y; IgG, immunoglobulin G; IL-4, interleukin 4; LPS,       |
| 48 | lipopolysaccharide; NcGRA6, N. caninum dense granule protein 6; PB, polymixin B; PBS,   |
| 49 | phosphate buffered saline; Nc-1, a strain of Neospora caninum; NF-KB, nuclear factor of |
| 50 | kappa B pathway; OML, oligomannaose-coated-liposomes; RPMI-1640 medium, Roswell         |
| 51 | Park Memorial Institute 1640 medium.  |
| 52 |   |

### 55 **1. Introduction**

Neospora caninum, an intracellular protozoan parasite causing neosporosis, is closely 56 related to *Toxoplasma gondii*. This parasite infects dogs as the definitive host and a wide 57 range of warm-blooded animals as intermediate hosts (Dubey and Schares, 2011). 58 Neosporosis is transmitted by ingestion of oocysts or tissue cysts, or by transplacental 59 transmission from an infected dam to her fetus. As a common cause of abortion in cattle 60 worldwide, neosporosis induces high economic losses in farming (Dubey, 2003). With no 61 effective drugs or vaccines available to control neosporosis (Dubey and Schares, 2011), 62 developing a potent vaccine against it is vital. 63

Humoral and cellular immunity are involved in the response to neosporosis, and both 64 types of immunity play essential roles in reducing its pathogenic effects. However, effective 65 protective immunity to neosporosis is triggered primarily through cell-mediated immunity, 66 especially that involving Th1 cell activation and interleukin (IL)-12 and gamma-interferon 67 (IFN- $\gamma$ ) cytokine production (Innes et al., 2000; Nishikawa, 2017). Macrophages, dendritic 68 cells, CD4<sup>+</sup>, and CD8<sup>+</sup> T cells appear to be crucial for resistance to and protective immunity 69 70 from neosporosis (Nishikawa et al., 2001a; Dion et al., 2011; Abe et al., 2014). Nuclear 71 factor-kappa B (NF-kB), a pivotal signaling pathway, can regulate the production of proinflammatory cytokines, such as tumor necrosis factor alpha and IL-12 (Liu et al., 2017). 72 73 These cytokines are produced mainly by macrophages and are indispensable for controlling 74 neosporosis (Nishikawa, 2017). Antibody-mediated immunity is also beneficial for 75 combating extracellular N. caninum either in blood or body fluids by limiting their dissemination through the complement system (Nishikawa et al., 2000). 76

Vaccines are recognized as the most successful control intervention in *N. caninum*infections (Reichel et al., 2013). Considering their safety and ease of preparation, vaccine
development based on recombinant antigens offers many advantages over live-attenuated and

inactivated vaccines. Several dense granule (GRA) proteins in N. caninum have been 80 identified as highly antigenic molecules that could be used in diagnostics or as vaccine 81 candidates (e.g., GRA2, GRA6, GRA7 and NTPase) (Huang et al., 2007; Ramamoorthy et al., 82 83 2007a; Ramamoorthy et al., 2007b; Nishikawa et al., 2009; Jin et al., 2015; Pastor- Fernández et al., 2015). Similarly, the GRA proteins from T. gondii, a closely related parasite to N. 84 *caninum*, are abundantly secreted, and are considered candidate vaccines and/or diagnostic 85 tools in different animal species (Redlich and Müller, 1998; Hiszczyńska-Sawicka et al., 86 2011; Sun et al., 2011). Numerous T. gondii-derived molecules have already been reported to 87 88 activate NF-kB signaling and relevant cytokines (Rosowski et al., 2011). Recently, we found that the transfection of human embryonic kidneys cells with *Neospora* GRA6 (NcGRA6) 89 cDNA activated NF-kB signaling (Nishikawa et al., 2018). NcGRA6 is characterized as an 90 91 integral part of the parasitophorous vacuole (PV) of N. caninum because it was distributed in the lumen and PV intravacuolar network of Madin-Darby bovine kidney cells as a host cell 92 model (Dong et al., 2017). 93

94 Previous studies have shown that expressing NcGRA6 in Brucella abortus (strain RB51) led to the induction of protective immunity against lethal intraperitoneal infection and 95 vertical transmission of N. caninum in a mouse infection model (Ramamoorthy et al., 2007a; 96 Ramamoorthy et al., 2007b). However, safety concerns were raised because B. abortus RB51 97 is infectious to humans and is potentially resistant to rifampicin, the most commonly used 98 99 drug for human brucellosis (Dorneles et al., 2015). In the present study, a non-pregnant BALB/c mouse model was used to comprehensively estimate the immunoprophylactic 100 potential of rNcGRA6 as a naked and oligomannose-coated-liposome (OML)-entrapped 101 antigen. Our previous studies in mice and cattle indicate that the adjuvant properties of OML 102 (plus antigen) can enhance protective immunity against infection with N. caninum 103 (Nishikawa et al., 2009; Nishimura et al., 2013; Nishikawa, 2017). Thus, we evaluated the 104

- 105 effects of rNcGRA6 in terms of its immune stimulating activity when used in combination
- 106 with OML in experimental lethal infections with *N. caninum* in mice.

### 108 2. Materials and methods

### 109 2.1. Ethics statement

This study followed all relevant guidelines and procedures. Mouse work, such as the 110 collection of heart blood, injection with parasites, recombinant proteins, or thioglycolate 111 medium, and surgery for the collection of brains and spleen were implemented under general 112 anesthesia induced with isoflurane. Mice were euthanized by cervical dislocation when they 113 became unconscious with no reaction against external stimuli. We followed the guidelines 114 and recommendations of the Guide for the Care and Use of Laboratory Animals of the 115 Ministry of Education, Culture, Sports, Science and Technology, Japan. The procedures were 116 approved by the Committee on the Ethics of Animal Experiments at the Obihiro University 117 of Agriculture and Veterinary Medicine (permission numbers 29-58 and 28-49). 118

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### 120 *2.2. Animals*

BALB/c female mice of 6–7 weeks old were purchased from Clea Japan (Tokyo, Japan). The housing of mice was applied under specific-pathogen-free conditions in the animal facility of the National Research Center for Protozoan Diseases at Obihiro University of Agriculture and Veterinary Medicine, Obihiro, Japan.

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## 126 2.3. Parasites and cell cultures

Maintenance of *N. caninum* (strain Nc-1) was performed in Vero cells (African green monkey kidney epithelial cells) cultured in Eagle's minimum essential medium (EMEM; Sigma, St. Louis, MO, USA) supplemented with 8% heat-inactivated fetal bovine serum (FBS; Nichirei Biosciences, Tokyo, Japan) and 1% streptomycin–penicillin (Sigma).

Regarding parasite purification, the host cell debris was removed by washing in cold 131 phosphate-buffered saline (PBS), the monolayer of infected cells was scraped off with a cell 132 scraper (BD Bioscience, San Jose, CA, USA), collected in medium, and centrifuged ( $800 \times g$ , 133 5 min, 20 °C). The harvested cell pellet was resuspended in RPMI 1640 medium (Sigma) and 134 passed through a 27-gauge needle and a 5.0 µm pore-sized filter (Millipore, Bedford, MA, 135 USA). 136

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### 2.4. NcGRA6 gene amplification and cloning

The total RNA from the N. caninum (Nc-1) strain using TRI reagent (Sigma) was 139 reverse transcribed using the SuperScript first strand synthesis system for reverse 140 141 transcription (RT)-PCR (Invitrogen, Carlsbad, CA) and then used as a template to amplify the target genes. The cDNA of the target gene (gene ID: NCLIV 052880) corresponding to 142 amino acid positions 43 to 154 and lacking amino acids 1-43 (signal peptide) and 155-172 143 (transmembrane domain) was PCR amplified using oligonucleotide primers. The primers 144 included an EcoRI site (underlined) in the forward primer (5'-AT GAA TTC ATG GAT 145 CCG GTT GAA TCC GTG GAG-3') and an XhoI site (underlined) in the reverse primer (5'-146 AT <u>CTC GAG</u> CTA TCT GTG ACG TGC CTG CTG CCG-3'). The PCR products of target 147 genes digested with above-mentioned restriction enzymes were inserted into a pGEX-4T1 148 plasmid vector digested with the same enzymes (Amersham Pharmacia Biotech, Madison, 149 CA, USA). To confirm the successful insertion, sequencing of the inserted PCR product was 150 conducted using the Big Dye Terminator Cycle Sequencing Kit (AB Applied Biosystems, 151 152 Carlsbad, CA, USA), and the ABI PRISM 3100 genetic analyzer (AB Applied Biosystems).

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### 2.5. Recombinant protein expression and purification 154

Recombinant protein of NcGRA6 was expressed as glutathione S-transferase (GST) 155 fusion protein (NcGRA6+GST) in Escherichia coli BL21 (DE3) cells (New England 156 BioLabs Inc., Ipswich, MA, USA). Protein expression was performed at 37 °C for 6 h after 157 induction with 0.1 mM isopropyl β-D-1-thiogalactopyranoside (Wako Inc., Osaka, Japan). 158 The final E. coli pellet from a large-volume culture was resuspended in suspension buffer (50 159 mM Tris-HCl, pH 8; 50 mM NaCl, 1 mM EDTA and 1 mM DTT) then centrifuged (7,000 160  $\times$  g, 10 mi, 4 °C). Lysozyme at 500 µg/ml and 10% Triton in PBS (-) were added to the cell 161 homogenate followed by incubation on ice for 1 h before cell sonication. The supernatant 162 163 from sonicated cells was purified with Glutathione Sepharose 4B beads (GE Healthcare Life Sciences), according to the manufacturer's instructions. The GST-fused protein was eluted in 164 elution buffer (100 mM Tris-HCl, pH 8.0; 100 mM NaCl, 5 mM EDTA, 25 mM reduced 165 166 glutathione powder; Wako Inc.). The amount and purity of each protein fragment were examined by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) 167 followed by staining of gel with Coomassie Brilliant Blue R250 (MP Biomedicals Inc., 168 Illkirch-Graffenstaden, France). The concentrations of protein were measured using the 169 bicinchoninic acid (BCA) protein assay kit (Thermo Fisher Scientific Inc., Rockford, IL, 170 USA). Proteins with the expected molecular weights were obtained in highly pure forms (Fig. 171 S1). Although several attempts were made to remove the GST-tag from NcGRA6 using 172 thrombin protease (GE Healthcare, Buckinghamshire, England) according to the 173 174 manufacturer's instructions, they were unsuccessful. Therefore, we used recombinant GST as the control protein in all the *in vivo* and *in vitro* experiments to exclude its effect. This 175 approach has been validated in several vaccine studies from our group and others (Nishikawa 176 177 et al., 2009; Munkhjargal et al., 2016a, 2016b; Fereig and Nishikawa, 2016; Fereig et al., 2017). For the mouse experiments and cell culture assays, the proteins were filtered through a 178 0.45 µm low-protein binding Supor<sup>®</sup> membrane, and resident endotoxin was removed using 179

Acrodisc® Units with Mustang® E Membrane (Pall Life Sciences, Ann Arbor, MI, USA).
Additionally, the endotoxin level was estimated with Limulus Amebocyte Lysate reagents
(Seikagaku Inc., Tokyo, Japan), and no endotoxin was detected in the tested protein lots (Fig.
S2).

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### 185 2.6. Collection and stimulation of murine peritoneal macrophages

Macrophage preparation and stimulation assay were performed as previously 186 described (Fereig et al., 2017), with slight modifications. In brief, four days after 187 intraperitoneal injection of BALB/c mice with 2 mL of 4.05% BBL<sup>TM</sup> Brewer modified 188 thioglycolate medium (Becton Dickinson, Sparks, MD, USA), the macrophages were 189 collected from mouse peritoneal lavages with cold PBS. After removal of red blood cells and 190 washing steps, the macrophage suspension was seeded to a 96-well microplate  $(3 \times 10^5)$ 191 cells/well) and incubated in a 5% CO<sub>2</sub> incubator at 37 °C for 4 h to allow the cell adherence 192 to the bottom. Before adding stimulants, the floating cells were removed, and the 193 macrophages were incubated again for 20 h with the indicated stimulants, including positive 194 and negative controls with and without polymixin B (PB) (Sigma) to exclude the effect of 195 any residual endotoxin in the protein samples. PB (10 µg/mL) was added to the samples 196 followed by incubation in a 37 °C water bath for 2 hr. 197

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# 199 2.7. OML preparation

Recombinant NcGRA6+GST and GST were formulated and entrapped in OML as reported previously (Nishikawa et al., 2009). The protein concentrations were assayed with the BCA protein assay kit (Thermo Fisher Scientific, Inc., Rockford, IL, USA).

### 204 2.8. Scheme used for mouse immunization and infection

The female mice were inoculated subcutaneously in the neck region with 25 pmol of 205 recombinant NcGRA6+GST, NcGRA6+GST-OML, or GST-OML in PBS, or with PBS 206 alone (each 100 µL). The antigens were administered three times at 14-day intervals (Total 207 number = 12 mice per group from two independent trials). Two weeks after the third 208 immunization, each mouse was challenged intraperitoneally with a lethal dose of N. caninum 209 Nc-1 tachyzoites  $(1 \times 10^6)$ . Such applied route of infection and infective dose was already 210 established a model for lethal infection in BALB/c mice (Ybañez et al., 2016; Nishikawa et 211 212 al., 2018). Survival and clinical observations in the mice were recorded for 32 dpi. We monitored the health of the animals twice a day. We sometimes observed unexpected deaths 213 214 of infected mice because of acute symptoms. Serum (20 µL) was collected from the mice via their tail veins at 7, 21, and 35 days after the first immunization, and at 7 dpi to investigate 215 216 the dynamicity of the specific antibodies generated against NcGRA6, using indirect enzymelinked immunosorbent assays (ELISAs). To confirm the lack of an antibody response in an 217 unvaccinated or uninfected mouse, control sera were collected from all the animals two days 218 before their immunizations. At 32 dpi, serum and brain samples were collected from all the 219 surviving mice after they were euthanized. 220

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### 222 2.9. Indirect ELISA to detect NcGRA6- specific antibodies

Purified NcGRA6+GST and GST were used as the coating antigens. They were diluted in coating buffer to a final concentration of 0.1  $\mu$ M after which the indirect ELISAs proceeded as reported previously (Nishikawa et al., 2009), with slight modifications. The plates were incubated with 50  $\mu$ L aliguots of serum samples from the immunized or control mice (diluted 1:100), added to the wells in duplicate, followed by incubation with horseradish
peroxidase-conjugated goat anti-mouse IgG1 or IgG2a (1:4,000).

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# 230 2.10. Neospora lysate antigen (NLA) preparation

NLA was prepared from Nc-1 strain tachyzoites as stated previously (Ribeiro et al.,
2009). The harvested crude extract was filtered through a 0.45 µm low-protein binding
Supor® membrane, and the concentration was measured using a BCA protein assay kit.

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235 2.11. In vitro spleen cell stimulation

Two weeks after the third immunization, the spleens from the vaccinated and PBS-236 inoculated mice (n = 4/group) were aseptically dissected and single cell suspensions were 237 prepared as described previously (Nishikawa et al., 2009), with slight modifications. Briefly, 238 the splenocytes were placed into 96-well plates (3 x  $10^{5}/100 \mu$  µl/well). The cells were 239 stimulated with 100 µL of NcGRA6+GST or GST recombinant proteins, or with NLA (at 10 240 and 50 µg/ml) or concanavalin A (ConA; Sigma-Aldrich, St Louis, MO) (at 0.5 and 5 µg/ml) 241 as the positive controls, or with stimulant-free medium as the negative control. The plates 242 243 were incubated for 48 h at 37 °C in 5% CO<sub>2</sub>. Culture supernatants (100 µl aliquots) were collected and assayed for cytokines (IL-4, IL-10 and IFN-y). Simultaneously, 10 µl of Cell 244 Counting Kit-8 reagent (CCK-8, Dojindo Laboratories, Kumamoto, Japan) was added to the 245 previously stimulated cell wells to estimate the extent of splenocyte proliferation. After 2 h of 246 247 incubation at 37 °C in 5% CO<sub>2</sub>, the optical density of each well was measured using a plate reader at 450 nm. 248

#### Sandwich ELISA for measuring cytokine levels 250 2.12.

Cytokine levels IL-4, IL-10, and IFN- $\gamma$  in the splenocyte culture supernatant, and IL-251 12p40 in macrophage culture supernatant were determined via commercial sandwich ELISAs 252 (Pierce Biotechnology Inc., Rockford, IL, USA), according to the manufacturer's instructions. 253 The standard cytokine curves constructed from the samples run on the same plate was used 254 for the calculation of cytokine concentrations. 255

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### 2.13. Clinical scores and body weights

Alterations in the body weights of the individual mice, which were recorded daily 258 from -2 to 32 dpi with N. caninum, were compared with the weights of the individual mice 259 on the first day of measurement. The clinical score was adjusted by recording the clinical 260 signs manifested in each mouse and the mouse group overall starting from -2 to 32 dpi with 261 262 N. caninum as described in our previous study (Abe et al., 2015). Briefly, each recorded clinical sign was represented by a score ranging from 0 (no signs) to 10 (all signs) (Table S1). 263

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#### Statistical analyses 2.14. 265

Statistical analyses were performed using a one-way analysis of variance (ANOVA) 266 followed by the Tukey-Kramer test for group comparisons of parasite burden, with the data 267 presented as the mean values  $\pm$  the standard deviations. We used a two-way ANOVA 268 269 followed by the Tukey-Kramer test or Bonferroni test to estimate differences in cytokine production, antibody levels, splenocyte response, body weight and clinical scores, with the 270 data for each presented as mean values  $\pm$  standard deviations. The significance of differences 271

in mouse survival was analyzed by  $\chi^2$  test. All statistical analyses were performed with GraphPad Prism version 5 (GraphPad Software Inc., La Jolla, CA, USA).

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275 **3. Results** 

# 276 3.1. IL-12p40 production of macrophages by NcGRA6

The immune-stimulating potential of NcGRA6 was investigated by treatment of 277 peritoneal macrophages isolated from mouse with different doses of recombinant proteins. At 278 279 doses of 2,500 nM and 5,000 nM, NcGRA6+GST treatment promoted IL-12p40 production in the murine peritoneal macrophages (Fig. 1). Treatment with Neospora lysates (20 µg/ml 280 and 50 µg/ml), also enhanced the production of IL-12p40. These findings were unchanged in 281 the presence of PB. In contrast, the GST and mock treatments failed to trigger any significant 282 responses. Treatment of the cells with lipopolysaccharide (LPS) also triggered IL-12p40 283 production, but PB treatment significantly reduced the production level. These results 284 indicate that NcGRA6 has immune stimulating activity. 285

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# 287 3.2. Specific anti-NcGRA6 antibody production in immunized mice

Mice immunized with NcGRA6+GST alone or formulated with OML were positive for specific IgG1 antibodies after the second and third immunizations, respectively. In contrast, specific IgG2a antibodies were only detected in the above-mentioned groups at 7 dpi (49 days after the first immunization). No or low detectable antigen-specific antibodies were observed in the control group receiving PBS or GST-OML, respectively (Fig. 2A, B). These results suggest that immunization with rNcGRA6 primarily induced Th2 immune responses against *N. caninum* in the mice. However, the production of specific IgG2a was

only initiated as a result of the boosting effect of immunization with NcGRA6 with or 295 without OML at 7 dpi. The proficiency of naked NcGRA6 in generating specific antibodies 296 against itself was higher than the entrapped OML version, as evidenced by the earlier IgG1 297 production and higher IgG2a production compared with the NcGRA6+GST-OML group (Fig. 298 2A, B). The reactivity of sera against recombinant GST as a coating antigen was seen in 299 GST-OML, NcGRA6+GST-OML and NcGRA6+GST groups after the third immunization 300 and 7 dpi (Fig. 2C, D). However, the antibody levels against GST were lower than those of 301 the NcGRA6-specific antibody. These results suggest that immunization with rNcGRA6 302 303 primarily induced Th2 immune responses against N. caninum in the mice. However, the Th1 immune response, evidenced by specific IgG2a production, was only initiated as a result of 304 the boosting effect of immunization with NcGRA6 with or without OML at 7 dpi. 305

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## 307 *3.3.* Th1 and Th2 cytokines and spleen cell proliferation

Spleen cell proliferation was equally enhanced in the NcGRA6+GST and 308 NcGRA6+GST-OML-immunized mice after stimulation with rNcGRA6 antigen at 10 and 50 309  $\mu$ g/ml (Fig. 3A). Moreover, the rNcGRA6-treated cells revealed higher levels of IFN- $\gamma$ 310 production from the mice immunized with NcGRA6+GST alone or with OML than the cells 311 from mice injected with PBS or GST-OML (Fig. 3B). IFN-y production was detected in 312 spleen cells from the NcGRA6+GST-immunized mice during stimulation with NLA, 313 although there were no significant differences among the groups (Fig. 3B). On the contrary, 314 IL-4 and IL-10 production in the spleen cells from all mouse groups was not significantly 315 detectable, whereas high production levels were noted when ConA was used at 5 µg/ml (Fig. 316 3C, D). Collectively, these results indicate that immunization with naked rNcGRA6 triggered 317 the antigen-specific cell-mediated immune responses in the mice. 318

### 320 *3.4. Protection against lethal experimental infections of* N. caninum *in mice*

Substantial protection occurred in both immunized groups (NcGRA6+GST and 321 NcGRA6+GST-OML) unlike the control groups (PBS and GST-OML). The survival rates for 322 the immunized groups were 91.7% for NcGRA6+GST and 83.3% for NcGRA6+GST-OML, 323 the values of which were statistically significant unlike those for the control groups (GST-324 OML: 25%, PBS: 16.7%) (Fig. 4A). Furthermore, minimal body weight decrease and lower 325 clinical score occurred in the NcGRA6+GST-immunized mice compared with the other 326 groups, particularly those that received PBS or GST-OML (Fig. 4B, C). A statistically 327 significant difference in the clinical observations (body weight loss and clinical signs of 328 infection) was recorded from the early stage of infection and continued until the end of the 329 experiments in the NcGRA6+GST-immunized mice (Fig. 4B, C). Although we did not 330 include a non-vaccinated non-challenge group in this study, weight gain over 30 days was 331 about 14% in naïve BALB/c mice in our experimental conditions. Because the body weights 332 of NcGRA6+GST-immunized mice increased from 28 dpi, the body conditions of these mice 333 recovered during this period (Fig. 4B). Moreover, the number of parasites in the 334 NcGRA6+GST-immunized group was lower than those in other groups, although the 335 difference was not statistically significant (Fig. S3). These results imply that rNcGRA6 336 showed effective protection in the mouse model following intraperitoneal infection with a 337 338 lethal dose of *N. caninum* tachyzoites.

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### 341 4. Discussion

The efficacy of antigens derived from N. caninum as potential vaccine candidates 342 against murine or bovine neosporosis has been widely evaluated (Reichel and Ellis., 2009; 343 Monney et al., 2011), and numerous vaccine antigens have been tested as plasmid DNA, 344 recombinant protein, or vector-based vaccines. Although these types of vaccine offer a highly 345 346 flexible vaccination technology capable of inducing a substantive immune response, safety issues and costly manufacturing processes are hampering the use of DNA or vector-based 347 vaccines in large scale or field applications (Innes et al., 2006). The first trials of vaccine 348 development against N. caninum primarily focused on live or attenuated vaccines. Live 349 vaccines could elicit both humoral and cellular immunity and confer a variable degree of 350 protection. However, worries about safety, resuming virulence, and increasing numbers of 351 carrier animals restrict their use in field applications. Attenuated, killed, or lysate antigen 352 vaccines are safer than live vaccines, but an adjuvant is required for the induction of an 353 effective immune responses (Andrianarivo et al., 1999; Innes et al., 2002). Thus, vaccination 354 using a recombinant antigen triggering appropriate levels of protective immunity for effective 355 protection could offer the most appropriate vaccination tool. 356

GRA proteins from N. caninum and T. gondii are pivotal weapons used by these 357 apicomplexan parasites for establishing infections in their hosts. This competence requires 358 the successful interaction with host molecules. Numerous T. gondii-derived GRAs have been 359 reported to modulate the host's cell signaling pathways, with TgGRA6 reported to enhance 360 the immune response via the NFAT4-dependent pathway (Ma et al., 2014). TgGRA15 361 activation of the NF-kB pathway leads to proinflammatory-biased immunity (Rosowski et al., 362 2011). TgGRA16 and TgGRA24 have also been shown to enhance the host's p53 tumor 363 suppressor signaling pathway (Bougdour et al., 2013), and p38 MAP kinase activation (Braun 364 et al., 2013), respectively. Unlike the situation for *Toxoplasma*, little information about the 365

interaction between *Neospora* and host cell-transduction pathways has been published. In a
recent study by our group, NcGRA6 activated the NF-kB pathway in human embryonic
kidneys cells (Nishikawa et al., 2018). In the present study, we found that NcGRA6 promoted
IL-12p40 production from murine macrophages. Because IL-12 is an essential cytokine for
triggering cell-mediated immunity and resistance against *N. caninum* infection, it indicates a
potential immune-stimulatory effect (Khan et al., 1997; Baszler et al., 1999).

Because NcGRA6 was able to enhance murine macrophage activation and specific 372 antibody production, as well as triggering antigen-specific IFN- $\gamma$  production in the spleen 373 cells of the immunized mice, we expect that this recombinant antigen should have protective 374 efficacy as a vaccine candidate. In this study, the boosting effect of the rNcGRA6 antigen in 375 terms of specific antibody production was confirmed. Additionally, the mitogenic effect and 376 IFN- $\gamma$  production of spleen cells indicated the high induction of cell-mediated immunity 377 against NcGRA6. Consequently, immunization with NcGRA6+GST alone conferred 91.7% 378 protection against infection with N. caninum in the mouse model. Nevertheless, the role of 379 IFN- $\gamma$  in NcGRA6-induced protection should be further investigated using IFN- $\gamma^{-/-}$  mice or 380 specific neutralization antibodies. Although our previous studies revealed the utility of OML 381 as a potential adjuvant for vaccine antigens against neosporosis (Nishikawa et al., 2009; 382 Zhang et al., 2010; Nishimura et al., 2013), here, we found that OML is not required to 383 384 achieve a substantial protective effect from rNcGRA6 immunization. The delay in the development of IgG1 responses of the NcGRA6+GST-OML-immunized group compared 385 with the NcGRA6+GST-immunized group suggests that the liposomes are retaining the 386 recombinant protein and retarding its exposure to immune cells. They could also be 387 388 modifying local immune responses and antigen presentation, which could explain why splenocytes from mice vaccinated with rNcGRA6-GST-OML secreted lower levels of IFN-y 389 when stimulated with NLA. Our results also suggest that naked NcGRA6 possesses adjuvant 390

activity, as evidenced by its triggering of IL-12 production and NF-kB activation in 391 macrophages. This effect may explain the robust immune response and protective potential of 392 NcGRA6 without requiring OML adjuvant activity. A better combination of OML and 393 394 antigen will be required for induction of the ideal immune response. Antigen with immunestimulating activity may not be suitable for entrapping OML, and further investigation will be 395 needed to clarify this uncertainty. We have previously confirmed that Toxoplasma 396 peroxiredoxin 1 and 3 possess immune-stimulating activities and protect mice against murine 397 toxoplasmosis when used as naked vaccine antigens (Fereig and Nishikawa, 2016; Fereig et 398 399 al., 2017). Thus, the strategy of using an antigen or antigens that possess immune-stimulating activity in a vaccine holds merit. 400

Elimination of intracellular protozoan parasites like N. caninum depends critically on 401 402 the action of cellular immunity, whereby cross-talk between numerous effector cells and molecules is achieved and various immune cells cooperate actively to combat the infection. 403 Cumulative evidence from previous studies has indicated that the IL-12/IFN- $\gamma$  axis is critical 404 for resistance against intracellular parasites such as N. caninum and the closely related 405 parasite, T. gondii (Khan et al., 1997; Baszler et al., 1999; Innes et al., 2000). IFN-γ is the key 406 407 molecule for combating such parasites via the following pathways: 1) macrophage priming, 408 which exerts an anti-parasitic effect by enhancing macrophage functioning (Nishikawa et al., 409 2001a) and nitric oxide production (Green et al., 1999); 2) MHC class I expressional boosting on antigen presenting cells, which in turn enhances CD8<sup>+</sup> T cell functioning (Ely et al., 410 1999); 3) PV disruption via immunity-related GTPases and p65 guanylate-binding proteins 411 (Haldar et al., 2013); 4) tryptophan depletion through incrementally increased indoleamine 412 413 2,3-dioxygenase levels (Taylor and Feng, 1991); and 5) oxygen radical production enhancement (Aline et al., 2002). Antibody-mediated immunity plays an essential role in 414 protection against N. caninum and T. gondii in another pathway also, although it has a partial 415

effect. Mice lacking B cells are markedly more susceptible to infection than their wild-type 416 counterparts, mostly because of impaired antibody production. This effect is attributable to 417 the blocking of tachyzoite invasion of the host cells (Sayles et al., 2000). Additionally, mice 418 passively immunized with antisera or purified antibodies showed improved protection against 419 infection with N. caninum or T. gondii (Fereig et al., 2017; Wang et al., 2017; Ferreirinha et 420 al., 2018). Antibody-mediated immunity is mostly related to the enhancement of the 421 complement system or by paralyzing parasites via an agglutinating effect (Nishikawa et al., 422 2000; Nishikawa et al., 2001b; Ferreirinha et al., 2014). However, another study also 423 424 reported a role for B cells in cytokine production in mouse spleen cells, especially IFN-y and IL-10. Higher levels of both cytokines in wild-type but not B cell-deficient mice were 425 produced from spleen cells re-stimulated with Neospora antigens. The mechanism for this 426 427 process is not clearly understood, but it may be related to the suppression of lymphoproliferation in the B cell-lacking mice (Eperon et al., 1999). 428

Previous studies have revealed high variability in the involvement of different N. 429 caninum dense granule proteins as vaccine antigens against infection with N. caninum. In a 430 previous study, B. abortus RB51 strain expressing NcGRA6 conferred protection in non-431 pregnant and pregnant mouse models, although this did not differ significantly compared 432 with the control group receiving RB51 vector alone (Ramamoorthy et al., 2007a; 433 434 Ramamoorthy et al., 2007b). In regard to NcGRA7, the extensively evaluated immunodominant antigen in vaccine studies of N. caninum, mucosal immunization of mice 435 with Neospora extract containing NcGRA7 as a predominant antigen induced long-term 436 protective effects when enriched with CpG adjuvant (Ferreirinha et al., 2016). A formulation 437 438 of NcGRA7 with OML elicited robust immune responses and protected mouse dams and pups against such parasites (Nishikawa et al., 2009). Similarly, the inclusion of CpG with 439 NcGRA7-encoding DNA plasmids protected against congenital neosporosis in mice (Jenkins 440

et al., 2004). Low or no protective efficacy of NcGRA7 formulated in potential adjuvants was 441 reported in non-pregnant and pregnant mouse models (Aguado-Martínez et al., 2009; 442 Jiménez-Ruiz et al., 2012; Pastor- Fernández et al., 2015). Similarly, NcNTPase, a putative 443 dense granule antigen, could not confer any protective efficacy when formulated in Quil-A 444 adjuvant either alone or in combination with NcGRA7 (Pastor- Fernández et al., 2015). In 445 cattle, NcGRA7 encapsulated in OML triggered protective immunity in immunized calves, 446 accompanied by a significantly lower parasite burden in the brains of immunized animals 447 (Nishimura et al., 2013). Conversely, immune stimulating complexes formulated with 448 449 cocktail antigens including NcGRA7 could not protect heifers against N. caninum infection at the materno-fetal interface (Hecker et al., 2015). Accordingly, subunit vaccines based on 450 dense granule proteins appear to be a promising tool to develop vaccines against N. caninum 451 infection in cattle in the field. 452

During the last three decades, numerous vaccination studies using native or 453 recombinant antigens against neosporosis have been performed. As a preliminary crucial step, 454 evaluation of any vaccine antigen using the immunocompetent non-pregnant mouse model is 455 considered the gold standard for defining the appropriate effector molecules for protective 456 immunity against N. caninum (Aguado-Martínez et al., 2017; Marugan-Hernandez, 2017; 457 Nishikawa, 2017). The main challenge resides in finding a vaccine that protects against 458 459 vertical transmission and abortion, but previous vaccination studies have shown variable 460 degrees of success in blocking vertical transmission of *N. caninum* in different animal models. In the mouse model, although great numbers of vaccine antigens have been found to induce 461 potential immune responses, far fewer were protective against vertical transmission. The 462 463 various vaccine antigen types with protective effects that have been evaluated in mice include live, attenuated or killed vaccines, recombinant DNA or antigens, and vector-based vaccines 464 (Aguado-Martínez et al., 2017; Nishikawa, 2017). Although numerous vaccines have elicited 465

466 remarkable immune responses in cattle and sheep, few of them have conferred protection 467 against abortion, particularly when live or killed tachyzoites have been used (Horcajo et al., 468 2016; Marugan-Hernandez, 2017). Consequently, with regard to the optimal immune 469 response and protective efficacy of naked NcGRA6 in non-pregnant mice, further evaluation 470 of this antigen should be considered to determine its potential protective effect against 471 vertical transmission of *N. caninum* in the mouse infection model.

Overall, previous studies have shown that vaccination can lead to the development of exacerbated symptoms and higher parasite burdens, and highlighted the varying outcomes of infection when animal models are vaccinated with the same antigen and different adjuvants. Thus, the animal model, physiological status, type of adjuvant, and vaccination scheme must be considered when evaluating antigens as vaccine candidates.

477

## 478 **5.** Conclusions

This study indicated the immunogenicity and potency of NcGRA6 as a vaccine 479 candidate against lethal infection with N. caninum in a murine model. We first identified that 480 481 the production of IL-12 from macrophages was initiated via NcGRA6+GST recombinant protein, supporting the hypothesis of the induction of cell-mediated immunity via NcGRA6. 482 Indeed, the immunization of mice with NcGRA6+GST enhanced the proliferation and IFN-y 483 production of spleen cells treated with NcGRA6. Because effective protection was confirmed 484 in NcGRA6+GST-immunized mice, our strategy of vaccine development using antigen(s) 485 that possess immune-stimulating activity will be an advantage for the control of N. caninum 486 infection. 487

488

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| 497 | Author Contributions  |  |  |  |  |  |
| 498 | R.M.F and Y.N conceived and designed the experiments and analyzed the data. R.M.F., N.S.,     |  |  |  |  |  |
| 499 | H.H.A., Y.K. and Y.N performed the experiments and contributed the reagents. R.M.F. and       |  |  |  |  |  |
| 500 | Y.N. wrote the manuscript. All authors have read and approved the final manuscript.           |  |  |  |  |  |
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| 505 |   |  |  |  |  |  |

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702 Figure legends

Figure 1. Immune-stimulatory effect of NcGRA6. IL-12p40 production in murine 703 peritoneal macrophages. Macrophages were treated with culture medium alone (mock), 704 GST, recombinant NcGRA6+GST, N. caninum lysate antigen (NLA), or lipopolysaccharide 705 (LPS, 10 ng/mL) in the presence or absence of polymixin B (PB). The IL-12p40 value 706 represents the mean  $\pm$  standard deviation of quadruplicate samples. The results represent two 707 repeat experiments with similar results. #, statistically significant differences for the same 708 stimulant in the presence and absence of polymixin B were observed, P < 0.05. \*, statistically 709 significant differences for the mock control were observed in the presence or the absence of 710 polymixin B, P < 0.05. 711

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Figure 2. Time course of specific antibody generation against NcGRA6 in the 713 immunized and control mice. Sera were collected from all the mouse groups at day -2, 7, 714 21, 35 and 49 after first immunization. The antibody responses for each experimental group 715 were tested against recombinant NcGRA6+GST for IgG1 (A) and IgG2a (B), and against 716 recombinant GST for IgG1 (C) and IgG2a (D). The mean optical density (OD) was 717 determined at a wavelength of 415 nm. Each bar represents the mean  $\pm$  standard deviation for 718 the mice used per group (n = 6), and the results represent two independent experiments with 719 similar results. The different letters above the bars in the graphs indicate statistically 720 significant differences among the same immunization group, P < 0.05. \*, the statistically 721 significant differences observed for the same immunized group were based on the 722 723 comparisons against the day 0 values, P < 0.05.

Figure 3. Spleen cell assessment and cellular immunity. The cell suspensions prepared 725 from the individual mouse spleens, and then cultured without any stimulator (culture 726 medium) or in the presence of NcGRA6, GST, N. caninum lysate antigen (NLA), or 727 concanavalin A (Con A), each at two different concentrations. (A) Cell proliferation was 728 measured at 48 h. The culture supernatants were assayed for IFN- $\gamma$  (B), IL-4 (C), and IL-10 729 (D) production with ELISAs. Each bar represents the mean  $\pm$  standard deviation (n = 4 for all 730 groups). The different letters above the bars indicate statistically significant differences 731 among the groups for the same stimulator. #, statistically significant differences were 732 observed for the culture medium wells for the same immunized group, P < 0.05. 733

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Figure 4. Survival rates and clinical observations of mice. (A) The survival rates 735 (surviving mice/total mice) are calculated from two pooled independent experiments: PBS: 736 2/12 (16.7%), GST-OML: 3/12 (25%), NcGRA6+GST: 11/12 (91.7%) and NcGRA6+GST-737 OML: 10/12 (83.3%). \*, The significance of differences in mouse survival was analyzed by 738 the  $\chi^2$  test. The differences were significant between PBS- and GST-OML-injected groups, 739 and NcGRA6+GST- and NcGRA6+GST-OML-immunized groups (P < 0.05). Body weight 740 alterations (B) and clinical scores (C) were calculated as means  $\pm$  SD of the body weights and 741 clinical score values for all the mice in a group from -2 until 32 days post-infection (dpi). \*, 742 the differences were significant between the PBS- and test groups, (P < 0.05). \*\*, the 743 differences were significant between the NcGRA6+GST and NcGRA6+GST-OML-injected 744 groups, (*P* < 0.05). 745













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# **1** Supplemental information

### 2 Materials and methods

### 3 1. Real time-PCR for measuring parasite burdens

The parasite DNA from each mouse brain was extracted, purified, and quantified as 4 reported formerly (Ihara et al., 2016). Tissues homogenates were obtained after lysis with  $\mathbf{5}$ extraction buffer (0.1 M Tris-HCl, pH 9.9; 1% SDS, 0.1 M NaCl, 1 mM EDTA, 1 mg/mL 6 proteinase K) at 55 °C. Phenol-chloroform extraction and ethanol precipitation were used to 7 8 purify the DNA. Parasite DNA was counted and analyzed by real-time PCR using specific primers for the Nc5 gene, which is specific for N. caninum quantification (Kaufmann et al., 9 1996; Liddell et al., 1999) (forward primer: nucleotides 248-257, 5'-ACT GGA GGC ACG 10 11 CTG AAC AC-3'; reverse primer: nucleotides 303-323, 5'-AAC AAT GCT TCG CAA GAG GAA-3'. The PCR was performed on genomic DNA and guided by the SYBR green detection 12method (Applied Biosystems). Amplifications, data processing and analysis were performed 13with the ABI Prism 7900HT Sequence Detection System (Applied Biosystems). Establishment of 14standard curve was generated from N. caninum DNA extracted from  $1 \times 10^5$  parasites using 1 µL 15of a serial dilution ranging from 10,000 to 0.01 parasites. The data were analyzed using 16 Dissociation Curves version 1.0 F (AB Applied Biosystems). 17

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### 2. An ethogram for measurement of clinical score 20

Clinical score was adjusted by recording the exhibited clinical signs manifested on each mouse 21and the mice group started from -2 to 32 dpi in infected mice with N. caninum within and 2223outside their naïve cages. Each recorded sign was represent a certain score ranged from 0 (no signs) to 10 (all signs). 24

25Table S1. Different types of sign, behavior or activity observed in an animal and relevant score.

|        |               | -   |  |
|--------|---------------|---|--|
| 1 Kyj  | ohosis        | The back of mouse is curved and hunched upwardly  |  |
| 2 Pilo | perection     | The hair is stiff, dispersed and shaggy   |  |
| 3 Wa   | rm- seeking   | The mouse is hiding below the bedding particularly in the cage                            |  |
| 4 Sun  | lken eye      | As a result of dehydration due to inability to feed and drink the<br>eves become hollowed |  |
| 5 Pto  | sis           | The eyes become closed primarily due to dropping of upper<br>eve lids                     |  |
| 6 Mo   | ve reluctance | The mouse refuses to move and latency may continue for more<br>than 15 seconds            |  |
| 7 Ata  | xia           | Under forced movements, the mouse walks in staggering gait                                |  |
| 8 Lac  | k of reflexes | The urine and faecal matter is voided involuntary, the skin and eve reflexes are latent   |  |

 $\mathbf{2}$ 

| 9         | Dizziness               | The mouse is semi-comatosed and all its muscles are partially       |
|-----------|-------------------------|---|
|           |                         | flaccid   |
| 10        | Laying on the belly     | v Lateral recumbency accompanied with complete loss of              |
|           | (LOB)                   | reflexes and muscular tone  |
|           |                         |   |
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# 39 Supplemental figures





Fig. S1. Expression and purification of recombinant proteins. Sodium dodecyl sulfate
polyacrylamide gel electrophoresis of recombinant proteins with Coomassie Blue staining.
Lanes: M, molecular mass marker; lane 1, NcGRA6+GST; lane 2, GST. The photo was taken
from the same gel.





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**Fig. S2.** Endotoxin measurement levels of antigens in this study. Endotoxin levels in NcGRA6+GST, glutathione S-transferase (GST) and lipopolysaccharide (LPS) were measured by a Limulus Amebocyte Lysate assay. The test was standardized against different concentrations of an *Escherichia coli*-derived standard endotoxin control supplied by the company and validated against LPS which has been used in the experiments of the current study. No detectable level of endotoxin was detected for NcGRA6+GST or GST. The values represent the mean values of duplicate samples.







Fig. S3. Parasite numbers in the brains of the surviving mice. At 32 days post infection, the 61brains of surviving mice were aseptically collected. Quantification of the parasite was performed 62using real-time PCR and analyzed against standard curve prepared from genomic N. caninum 63 64 DNA extracted from a well known number of parasite. The parasite burden was analyzed using one-way ANOVA plus Tukey-Kramer post hoc analysis, and no significant difference was 65observed between the different groups. Results are calculated from two pooled independent 66 67 experiments (PBS: n = 2, GST-OML: n = 3, NcGRA6+GST: n = 11, NcGRA6+GST-OML: n = 1110). 68