1	In vitro safety assessments and antimicrobial activities of Lactobacillus rhamnosus strains
2	isolated from a fermented mare's milk
3	
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14	
15	Running title: Safety and probiotic properties of LAB

#### 16 Abstract

17Safety and probiotic characteristics such as antimicrobial activities of three Lactobacillus rhamnosus 18strains, FSMM15, FSMM22, and FSMM26, previously isolated as potential probiotics from a 19 fermented mare's milk were investigated. The three FSMM strains were susceptible to ampicillin, 20gentamycin, kanamycin, streptomycin, tetracycline, and chloramphenicol, whereas resistant to 21erythromycin (MIC =  $4 - 8 \mu \text{g mL}^{-1}$ ) and clindamycin (MIC =  $4 \mu \text{g mL}^{-1}$ ); bioconversion of bile salts, 22hemolytic activity, and mucin degradation activity were negative; enzymatic activities of a-23chymotrypsin and  $\beta$ -glucosidase were detected, but those of  $\alpha$ -galactosidase,  $\beta$ -glucuronidase, and N-24acetyl-β-glucosaminidase, were undetectable. Among the strains, strain FSMM15 was chosen as a 25safer probiotic candidate due mainly to the lack of plasminogen binding ability. Despite lower acid 26production of strain FSMM15 than others, its cell-free culture supernatant inhibited growths of 27Salmonella Typhimurium LT-2, Shigella sonnei, Listeria monocytogenes, and Escherichia coli O157 with comparable levels of ampicillin, suggesting a favorable aspect of strain FSMM15 as a probiotic 2829strain.

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31 Key words: antibacterial activity, fermented Sumbawa mare's milk, food safety

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### 32 1. Introduction

33 Mare's milk contains lower concentrations of fat and protein and a higher concentration of lactose 34than cow milk and is consumed regularly by about 30 million people throughout the world either as 35the milk or as fermented products such as koumiss and airag (Potočnik et al. 2011). Fermented 36 beverages made of mare's milk have high potential as functional foods, because certain bacteria 37including probiotics commonly produce health-promoting agents such as bioactive peptides and 38organic acids during the fermentation process (Batdorj et al. 2006; Chen et al. 2010). 39 The home-made fermented mare's milk produced by farmers on the Sumbawa Island of Indonesia 40 tastes fairly sour, indicating the presence of strong acid producers during the fermentation process. 41Our previous study (Shi et al. 2012) revealed the predominance of Lactobacillus rhamnosus and of 42the isolated strains, strain FSMM15, strain FSMM22, and strain FSMM26 were selected as potential 43probiotics on a number of criteria such as resistance to acid and bile salts, survival rate on artificial 44gastric and intestinal fluids, and capability of binding on porcine gastric mucin and several 45extracellular matrix proteins. 46 Safety assessments and characterization of efficacy are prerequisite for probiotic claims. Although 47fairly infrequent, L. rhamnosus strains are potentially causative agents of opportunistic infections in 48 severely immunocompromised patients, as was found in L. rhamnosus GG (LGG) (Salminen et al. 492004). Antibiotic resistance patterns of probiotics should be clearly documented to avoid horizontal

50	transfer of the related genes (FAO/WHO, 2002) and haemolysis activity test and bile salt
51	deconjugation are also considered to be important traits. Also, enzymatic activity, mucus degradation
52	activity, and invasion abilities have been proposed as preliminary selection criteria (Salminen et al.
53	1996). Conversely, one of the most attractive effects of probiotics is prevention of intestinal tract
54	infection (Collado et al. 2008). Therefore, screening of antimicrobial activity and competitive
55	adhesion on human cell lines to exclude pathogens are commonly characterized. Ultimately, the safety
56	and efficacy should be confirmed by clinical studies (FAO/WHO, 2002).
57	In this study, antibiotic susceptibility, bile acid bioconversion activity, haemolytic activity,
58	enzymatic activities, mucin degradation activity, and interactions with plasminogen were investigated
59	to assess the safety of strains FSMM15, FSMM22, and FSMM26. In addition, antimicrobial activities
60	against six enteropathogenic bacteria and aggregate characteristics were investigated to clarify their
61	probiotic properties.
62	
63	2. Materials and methods
64	2-1. Reagents, bacterial strains, and culture conditions
65	All chemicals used in this study were analytical grade. Strains FSMM15, FSMM22, FSMM26,

- 66 and all pathogenic bacteria were from our bacterial culture collections at Obihiro University of
- 67 Agriculture and Veterinary Medicine. Lactobacillus rhamnosus GG ATCC 53103, Lactobacillus

68	brevis ATCC 8287, and Enterococcus faecalis ATCC 19433 were purchased from the American Type
69	Culture Collection (Manassas, VA, USA). LAB were propagated on de Man-Rogosa-Sharpe (MRS)
70	agar (Oxoid, Basingstoke, UK) for 24 – 48 h at 37 °C under anaerobic conditions using Anaeropack
71	Kenki system (Mitsubishi Gas Chemical Company, Tokyo, Japan). For liquid culture, a single colony
72	was inoculated into MRS broth (Oxoid) and pre-cultured for 18 h at 37 °C. An adequate amount of the
73	pre-culture broth was inoculated into a fresh MRS broth and incubated for up to 24 h at 37 °C
74	anaerobically as a main culture. Human fecal bacteria (HFB) was obtained from a stool sample of 30
75	years old healthy woman. The standard strain Salmonella enterica subsp. enterica serovar
76	Typhimurium LT2 (Salmonella Typhimurium LT-2) and a laboratory stock of Shigella sonnei strain
77	No. 134 were propagated in Luria-Bertani (LB) broth (Merck KGaA, Darmstadt, Germany).
78	Laboratory stocks of methicillin-resistant Staphylococcus aureus (MRSA) strain No. 29, methicillin-
79	sensitive S. aureus (MSSA) strain No. 18, Listeria monocytogenes strain No. 154, and Escherichia
80	coli O157 strain No. S-12 were propagated in Brain Heart Infusion (BHI) broth (BD Biosciences,
81	Spark, MD, USA). For antimicrobial activity and co-aggregation activity assays, all pathogenic
82	bacteria were incubated for up to 18 h at 37 °C with agitation (200 rpm min <sup>-1</sup> ) at biosafety level 2.
83	

# 84 **2-2.** Antibiotic susceptibility test and MIC determination

85 All antibiotics were purchased from Merck KGaA. Antibiotic susceptibility test was performed

86	according to the guidelines of ISO 10932/IDF 223 standard (2010). Minimal inhibitory concentrations
87	(MICs) for ampicillin, gentamycin, kanamycin, streptomycin, erythromycin, clindamycin, tetracycline,
88	and chloramphenicol were determined by the microdilution broth method using hand-made
89	microdilution plates. Antibiotic susceptibilities were evaluated by comparison with the MIC
90	breakpoint values for L. rhamnosus recommended by the European Food Safety Authority Panel on
91	Additives and Products or Substances used in Animal Feed (EFSA, 2012).
92	
93	2-3. Bile acid bioconversion tests
94	2-3-1. Bile salt hydrolase activity test by agar plate method
95	All bile salts were purchased from Merck KGaA. MRS agar plates containing 1.7% ( $w/v$ ) agar and
95 96	All bile salts were purchased from Merck KGaA. MRS agar plates containing 1.7% (w/v) agar and each 1 mM of taurocholic acid (TCA), taurochenodeoxycholic acid (TCDCA), taurodeoxycholic acid
96	each 1 mM of taurocholic acid (TCA), taurochenodeoxycholic acid (TCDCA), taurodeoxycholic acid
96 97	each 1 mM of taurocholic acid (TCA), taurochenodeoxycholic acid (TCDCA), taurodeoxycholic acid (TDCA), glycocholic acid (GCA), glycochenodeoxycholic acid (GCDCA), and glycodeoxycholic acid
96 97 98	each 1 mM of taurocholic acid (TCA), taurochenodeoxycholic acid (TCDCA), taurodeoxycholic acid (TDCA), glycocholic acid (GCA), glycochenodeoxycholic acid (GCDCA), and glycodeoxycholic acid (GDCA) were pre-incubated anaerobically at 37 °C for 48 h, then each 10 μL of overnight MRS culture
96 97 98 99	each 1 mM of taurocholic acid (TCA), taurochenodeoxycholic acid (TCDCA), taurodeoxycholic acid (TDCA), glycocholic acid (GCA), glycochenodeoxycholic acid (GCDCA), and glycodeoxycholic acid (GDCA) were pre-incubated anaerobically at 37 °C for 48 h, then each 10 $\mu$ L of overnight MRS culture broths of the three FSMM strains and LGG was inoculated onto the plates and incubated at 37 °C for
96 97 98 99 100	each 1 mM of taurocholic acid (TCA), taurochenodeoxycholic acid (TCDCA), taurodeoxycholic acid (TDCA), glycocholic acid (GCA), glycochenodeoxycholic acid (GCDCA), and glycodeoxycholic acid (GDCA) were pre-incubated anaerobically at 37 °C for 48 h, then each 10 $\mu$ L of overnight MRS culture broths of the three FSMM strains and LGG was inoculated onto the plates and incubated at 37 °C for 72 h anaerobically. Bile salt deconjugation was evaluated by observing the formation of precipitation

# 104 **2-3-2.** Biotransformation of cholic acid into deoxycholic acid

105	Biotransformation activity of cholic acid (CA) into deoxycholic acid (DCA) was investigated as
106	described previously (Kurdi et al. 2003) with modifications. Each of the tested strains was inoculated
107	into 1/2MRS broth containing 0.15 mM sodium cholate, then the broths were incubated at 37 °C for
108	48 h under anaerobic conditions. After pH adjustment to 2.0, bile acids were extracted from 200 $\mu$ L
109	of the culture broths with 1 mL of ethyl acetate. The extracted bile acids were separated by thin-layer
110	chromatography (TLC) using a Silica gel 60 plate (Whatman, Maidstone, UK) with cyclohexane/ethyl
111	acetate/acetic acid (7:23:3, v/v) as a developing solvent. Spots of bile salts were visualized by spraying
112	5% (w/v) phosphomolybdic acid in absolute ethanol and heating.
113	
114	2-4. Hemolytic activity tests
115	2-4-1. Agar plate assay
116	The 18-h culture broths of the tested strains were washed twice with phosphate-buffered saline
117	(PBS) and the cell population was adjusted to 10 <sup>8</sup> colony forming unit (CFU) mL <sup>-1</sup> in PBS. About 10
118	$\mu$ L of the cell suspensions were inoculated onto 5% sheep blood agar plates (Eiken Chemical Co., Ltd.,
119	Tokyo, Japan) and incubated at 37 °C for 48 h under anaerobic conditions. Lactobacillus brevis ATCC
120	8287 was used as a $\gamma$ -hemolysis control strain. Clear zone formation around the colonies was judged
121	as $\beta$ -hemolytic activity (true hemolysis). Color change of the media around the colonies into shaded

brown or greenish was considered as α-hemolytic activity. Strains without any change were taken as
lacking hemolytic activity (γ-hemolysis).

124

125 <b>2-4-2.</b> 7	<b>Fest tube</b>	assay
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126Red blood cells (RBCs) prepared from defibrinated sheep blood (Nippon Biotest Laboratory, 127Tokyo, Japan) were used for colorimetric assay of hemolytic activities in test tubes, adapting from 128Sperandio et al. (2010). After removal of the buffy coat and plasma layer by centrifugation at 1500 x 129g for 2 min at room temperature (RT), pelleted RBCs were washed three times with PBS. The number 130of RBCs was counted using a hemocytometer, then the cell population was adjusted to 10<sup>8</sup> cells in 500 131µL of PBS. Equal volume of the bacterial cells (108 CFU in 500 µL) was mixed gently with the RBCs suspension. A 500 µL-aliquot of the mixture was collected after 1.5-h incubation at 37 °C, then 132133centrifuged at 1500 x g for 10 min at RT. Hemolytic activity was monitored by measuring the 134absorbance at 405 nm using a Multiskan FC microplate reader (Thermo Fisher Scientific, Waltham, 135MA, USA). RBCs suspension was incubated with an equal volume of 1% (v/v) Triton X-100 in PBS 136and with an equal volume of PBS for positive and negative controls, respectively. 137

#### 138 **2-5. Enzymatic activity test**

139 Enzymatic activities were determined using an API ZYM kit (bioMérieux, Marcy I'Etoile, France)

141	broth at 37°C until the late exponential phase. Bacterial cells were harvested, then the cell population
142	was adjusted to 3 x $10^8$ CFU mL <sup>-1</sup> . Enzymatic activities were evaluated by comparison with the API
143	ZYM color chart (bioMérieux).
144	
145	2-6. Mucin degradation activity test
146	2-6-1. Agar plate assay
147	Hog gastric mucin was purified from 10 g of crude powder (HGM Type III, Merck KGaA)
148	according to the previous report (Zhou <i>et al.</i> 2001). To check mucin degradation activities, 10 $\mu$ L of
149	18-h main culture broths of each FSMM strains were inoculated onto agar plates (7.5 g tryptone, 7.5
150	g casitone, 5.0 g yeast extract, 5.0 g beef extract, 5.0 g NaCl, 3.0 g K <sub>2</sub> HPO <sub>4</sub> $\cdot$ 3H <sub>2</sub> O, 0.5 g KH <sub>2</sub> PO <sub>4</sub> ,
151	$0.5 \text{ g MgSO}_4$ · 7H <sub>2</sub> O, 0.5 g L-cysteine HCl, 0.002 g resazurin, 15 g agarose, and 0 or 30 g glucose per
152	litre of deionized water, pH 7.2) that contained 0.5% (w/v) purified HGM Type III, then incubated at
153	37 °C for 72 h anaerobically. Clear zones formed as a result of mucin degradation were visualized by
154	staining with 0.1% (w/v) amido black dissolved in 3.5 M acetic acid for 30 min at RT, followed by
155	washing with 1.2 M acetic acid until clear zones emerging. HFB grown in BHI broth and heat-
156	inactivated HFB culture were used as positive and negative controls, respectively.

according to the manufacturer's instructions. The tested strains were grown anaerobically in MRS

157

# **2-6-2. Test tube assay**

159	Mucin degradation activities were investigated in a liquid medium using the similar composition
160	used in the agar plate assay, but without glucose, purified HGM Type III, and agar (termed as a basal
161	medium). A 150- $\mu$ L aliquot of the 18-h main culture broths of each strain was inoculated into 15 mL
162	of the basal media and incubated at 37 °C for 48 h anaerobically. Growth of each strain was evaluated
163	by measuring the pH and the optical density at 600 nm of the culture broth. After 48-h incubation, the
164	remained mucin was recovered according to the previous report (Zhou et al. 2001). Mucin degradation
165	was monitored by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) using
166	12% (w/v) polyacrylamide gels. Carbohydrate and protein contents of the remained mucin were
167	evaluated respectively by phenol-sulfuric acid method (Dubois et al. 1956) using galactose as a
168	standard and by BCA method using a Pierce BCA kit (Thermo Fisher Scientific) adapted from Miller
169	and Hoskins (1981); the samples were pre-heated with reagent A at 70 °C for 45 min.
170	

# **2-7. Plasmiongen binding and activation tests**

172	Presence of human plasminogen (hPlg, Merck KGaA) bound on bacterial cell surface was
173	evaluated by plasmin activity assay as described previously (Bergmann et al. 2005). The tested strains
174	at stationary phase were harvested by centrifugation (5000 x $g$ for 5 min at RT), washed twice with
175	PBS, adjusted to $10^9$ CFU mL <sup>-1</sup> , and incubated for 15 min at 37 °C with 40 µg mL <sup>-1</sup> of hPlg dissolved

176	in PBS. After that, the reaction mixture was washed twice with PBS to remove unbound hPlg, then
177	the bacterial cells with hPlg were suspended in 250 $\mu L$ of 50 mM Tris-HCl (pH 7.5). Subsequently,
178	100-µL aliquots of the bacterial suspension were dispensed in each well of a 96-well microtitre plate.
179	The hPlg was activated by addition of 0.24 kallikrein inhibitor unit (KIU) tissue plasminogen activator
180	(tPA, Merck KGaA) or 0.06 KIU urokinase plasminogen activator (uPA, Merck KGaA), then the
181	peptide cleavage reaction was performed at 37 °C for 1 h using 30 $\mu L$ of 0.54 mM D-valyl-leucyl-
182	lycine-p-nitroanilide dihydrochloride (S-2251, Merck KGaA) as a substrate. Absorbance at 405 nm
183	$(A_{405nm})$ was measured immediately after addition of S-2251 (time point = t <sub>0</sub> ) and after 1-h incubation
184	at 37°C (time point = $t_1$ ). Plasmin activity was evaluated by subtracting $A_{405nm}$ values at $t_0$ from those
185	at t <sub>1</sub> .

186

#### 1872-8. Antimicrobial activity against enteropathogenic bacteria

1882-8-1. Cell-free culture supernatant preparation

189 MRS broth (100 mL) was inoculated with each overnight pre-culture of the three FSMM strains

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as giving 10<sup>5</sup> to 10<sup>6</sup> CFU mL<sup>-1</sup>, then incubated anaerobically at 37 °C for 24 h. Cell-free culture
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supernatant (CFCS) was obtained by centrifugation for 30 min at 10000 x g at 4 °C. The CFCS was
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- 192sterilized using 0.2 µm-pore-size filters (Advantec, Tokyo, Japan). Four milliliter aliquots of
- 193neutralized (pH 7.0) and non-neutralized CFCSs were lyophilized, then reconstituted with sterilized

194 20 mM sodium phosphate buffer (pH 6.0) to achieve 20-fold concentrated CFCSs. MRS broth was

195 treated by the same procedure and used as a negative control.

196

#### 197 **2-8-2.** Measurement of antimicrobial activities by disc diffusion assay

198 Disc filter papers (hereafter abbreviated as discs) with 6 mm diameter (Whatman no. 1, GE 199 Healthcare, Little Chalfont, UK) were placed into sterile Petri dishes and impregnated with 30 µL of 200the various concentrations of CFCS or MRS broth prepared as describe above. The discs were allowed 201to dry at RT for 1 h. The 1.2% (w/v) BHI agar pre-incubated at 50 °C was thoroughly mixed with an 202overnight culture of each pathogenic bacteria  $(10^7 - 10^9 \text{ CFU mL}^{-1})$ , including S. Typhimurium LT-2, 203S. sonnei, MRSA, MSSA, L. monocytogenes, and E. coli O157, poured into the Petri dishes, and 204incubated at RT for 30 min. The discs were then placed on the pathogen-seeded BHI agar plates. These 205were first incubated at 4 °C for 1 h to allow antimicrobial compounds to diffuse into the agar, then 206 incubated at 37 °C for 24 h aerobically. Antimicrobial activities were estimated by measuring 207 diameters of growth inhibition zones around the discs. Discs impregnated with 20 mM sodium 208phosphate buffer (pH 6.0) were used as negative controls. As positive controls, solutions of 0.1 mg 209 mL<sup>-1</sup> of ampicillin and 10000 IU mL<sup>-1</sup> of nisin from Lactococcus lactis (Merck KGaA) were used. The acidity of CFCS was measured by acid-base titration method as described by Wakil and 210211Osamwonyi (2012). The acidity was calculated as being equivalent to lactic acid by the following

212 equation:

213
 %Acidity = (
$$V_{MaOH} \ge M_{NaOH} \ge E / (V_{sample}) \ge 100$$
,

 214
 where  $V$ ,  $M$ , and  $E$  indicate volume, molarity, and equivalent factor (90.08/mg), respectively.

 215
 216

 2-9. Auto- and co-aggregation properties and bacterial cell surface hydrophobicity

 217
 The three FSMM strains and LGG were cultured in MRS broth and harvested at the end of the

 218
 exponential growth phase. The harvested cells were washed twice with PBS and re-suspended in PBS

 219
 to be 10% cells mL-1. Four milliliter aliquots of the bacterial cell suspensions were mixed thoroughly

 220
 for 10 s, then incubated without agitation at 37 °C. Auto-aggregation was monitored by measuring the

 221
 absorbance of the culture supernatant at 600 nm. Co-aggregation was clarified only with  $S$ .

 222
 Typhimurium LT-2, because all the tested strains showed inhibitory activities against the pathogen.

 223
 Equal volume (2 mL) of the FSMM strains and the pathogenic bacterial cells were mixed and

 224
 incubated at 37 °C without agitation. The ratio of auto-aggregation was expressed as

 225
  $1 - (A_i/A_0) \ge 100$ ,

 226
 where  $A_i$  and  $A_0$  represented the values of absorbance 600 nm at the several time points (2, 6, 20, and

 227
 24 h) and the initial time point (0 h), respectively. The ratio of co-aggregation was calculated at the

229 
$$\{(A_{pathog} + A_{lacto})/2 - (A_{mix})/(A_{pathog} + A_{lacto})/2\} \ge 100,$$

230 where  $A_{pathog}$ ,  $A_{lacto}$ , and  $A_{mix}$  represent the absorbance at 600 nm of the culture supernatant of the

- 231 pathogenic bacteria, of the FSMM strains, and of their mixtures, respectively.
- 232 Cell surface hydrophobicity was evaluated according to the previous report (Collado *et al.* 2008).
- 233 Equal volumes of xylene and approximately 10<sup>8</sup> CFU mL<sup>-1</sup> of the bacterial cells were mixed
- vigorously for 5 min. After 1-h incubation at RT, turbidity of the aqueous phase was measured at
- absorbance 600 nm. The cell surface hydrophobicity was calculated as follows:

236 % Hydrophobicity = 
$$\{(A_0 - A_1) / A_0\} \ge 100$$
,

237 where  $A_0$  and  $A_1$  are the absorbance at 600 nm of the aqueous phase before and after mixing with

- 238 xylene, respectively.
- 239

#### 240 **2-11. Statistical analysis**

241 Numeric data except antibiotic susceptibility and enzymatic profile were expressed as means  $\pm$ 

standard deviation (SD) from three replications. The statistical significance was assessed by one-way

analysis of variance (ANOVA) with Tukey's post-hoc test. Data were considered significant at P value

less than 0.05.

245

#### **3. Results**

247 **3-1.** Antibiotic susceptibilities of the FSMM strains

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251

252

All the tested strains including LGG were susceptible to ampicillin (MIC = 0.25 $\mu$ g mL <sup>-1</sup> ),
gentamycin (MIC = $2 - 4 \mu g m L^{-1}$ ), kanamycin (MIC = $64 \mu g m L^{-1}$ ), streptomycin (MIC = $8 - 16 \mu g$
mL <sup>-1</sup> ), tetracycline (MIC = 0.5 – 4 $\mu$ g mL <sup>-1</sup> ), and chloramphenicol (MIC = 4 $\mu$ g mL <sup>-1</sup> ), whereas
resistant to erythromycin (MIC = 4 – 8 $\mu$ g mL <sup>-1</sup> ) and clindamycin (MIC = 4 $\mu$ g mL <sup>-1</sup> ) (Table 1).

#### 253 **3-2.** Bile acid bioconversion abilities of the FSMM strains

254 No apparent precipitation of any bile salts was observed for the three FSMM strains and LGG in

255 contrast to *E. faecalis* ATCC 19433 (Fig. S1, Table 4), hence none of the tested strains were capable

256 of deconjugating primary bile salts into free CA and DCA. No metabolic ability of converting CA into

257 DCA was also confirmed for the three FSMM strains and LGG by TLC (Fig. S2, Table 4).

258

#### 259 **3-3. Hemolytic activities of the FSMM strains**

Brownish color observed in the surrounding areas of the colonies of the FSMM strains and LGG indicated their  $\alpha$ -hemolytic activities (Fig. S3A, Table 4). Because  $\alpha$ -hemolytic activity was considered as a partial hemolysis, disruption of sheep RBCs in aqueous phase was further tested. As a result, apparent hemolysis was not observed for all the tested strains including *L. brevis* ATCC 8287 (Fig. S3B, Table 4).

# **3-4. Enzymatic activities of the FSMM strains**

267	The three FSMM strains showed very similar patterns in the enzymatic activities with LGG (Fig.
268	S4, Table 4). In respect to potentially harmful enzymatic activities, $\alpha$ -galactosidase, $\beta$ -glucuronidase,
269	and N-acetyl- $\beta$ -glucosaminidase activities were undetectable, whereas $\alpha$ -chymotrypsin (5 nmol of
270	substrate hydrolyzed) and $\beta$ -glucosidase (> 30 nmol of substrate hydrolyzed) activities were found in
271	all the tested strains.
272	
273	3-5. Mucin degradation activities of the FSMM strains
274	An apparent clear zone was observed in the positive control (Fig. S5A, Table 4). On the other hand,
275	clear zone was not obvious in strain FSMM15 and LGG; however, very weak clear zones were seen
276	in strains FSMM22 and FSMM26. When the tested strains were grown on the agar plates containing
277	mucin and glucose, clear zone formation was not seen in all the tested strains (Fig. S5B, Table 4).
278	When mucin was supplemented to the basal medium, only HFB could proliferate significantly (1.3-
279	fold higher in $OD_{600nm}$ ). Simultaneous supplementation of mucin and glucose did not promote the cell
280	growth compared to the solo glucose supplementation, hence the three FSMM strains were not capable
281	of utilizing mucin as a carbon source (Data not shown). Fragmentation of mucin protein was observed
282	only when the HFB was cultured in the basal medium supplemented with 0.3% HGM Type III (Figs.
283	S6A and S6C, Table 4). Degradation of the carbohydrate moieties of mucin by the HFB was also

284	confirmed by a decrease of stained area in the high molecular mass region (more than 150 kDa) on the						
285	SDS-PAGE gel (Figs. S6B and S6D, Table 4). The three FSMM strains and LGG showed less than						
286	20% degradation of protein and carbohydrate moieties (data not shown), indicating that they						
287	apparently lacked mucin degradation activities according to the criteria suggested by Miller and						
288	Hoskins (1981).						
289							
290	3-6. Plasmiongen activation capabilities of the FSMM strains						
291	No significant difference was observed when the plasmin activity was evaluated in the absence of						

- 292 PAs, hence the three FSMM strains have no endogenous PA activity (Fig. 1). Among them, strains
- 293 FSMM22 and FSMM26 showed obviously higher conversion level of hPlg to plasmin, indicating their
- high binding abilities to hPlg (Figs. 1B and 1C), whereas strain FSMM15 and LGG showed almost no
- binding ability (Figs. 1A and 1D).

### 297 3-7. Antimicrobial activities of the FSMM strains

#### 298 Strains FSMM22 and FSMM26 showed antimicrobial activities for all the six enteropathogenic

- 299 bacteria as comparable levels to LGG, while strain FSMM15 lacked inhibitory activities against
- 300 MRSA and MSSA (Table 2). When MRS medium adjusted to different pH was subjected to the disc
- diffusion assay, MRSA and MSSA formed haloes at pH 2, but other pathogens formed at pH 3 or 4,

302	indicated higher acid tolerance of MRSA and MSSA (data not shown). Judging from the pH and acidity
303	values of the CFCSs of strains FSMM15 (pH 4.23 $\pm$ 0.02, 0.07 $\pm$ 0.00% Acidity), FSMM22 (pH 3.95
304	$\pm$ 0.02, 0.13 $\pm$ 0.00% Acidity), FSMM26 (pH 3.97 $\pm$ 0.02, 0.11 $\pm$ 0.01% Acidity), and LGG (pH 3.99
305	$\pm$ 0.01, 0.12 $\pm$ 0.01% Acidity), the lack of inhibitory activities of strain FSMM15 against MRSA and
306	MSSA was likely due to the lower acid production than other strains.
307	
308	3-8. Auto- and co-aggregation properties of the FSMM strains
308 309	<b>3-8. Auto- and co-aggregation properties of the FSMM strains</b> Auto-aggregation of the tested strains progressed in time-dependent manner (Table 3). Strains
309	Auto-aggregation of the tested strains progressed in time-dependent manner (Table 3). Strains
309 310	Auto-aggregation of the tested strains progressed in time-dependent manner (Table 3). Strains FSMM22 and FSMM26 showed significantly higher auto-aggregation properties comparing to strain

## 315 **4. Discussion**

316 As mentioned by Bernardeau *et al.* (2008), clarification of antibiotic susceptibility patterns is 317 considered to be the primary requirement for the safety assessment of *Lactobacillus* genus, and we 318 found in this study all the three FSMM strains, as well as LGG, showed resistances against 319 erythromycin and clindamycin with similarly moderate MIC values (4 – 8  $\mu$ g mL<sup>-1</sup>). Acquisition

320	mechanism of resistance to macrolides (e.g. erythromycin) and lincosamides (e.g. clindamycin) are
321	similar among pathogenic bacteria, and the major cause is considered as modifications of ribosomal
322	genes, whereas efflux and inactivation of those antibiotics are less effective (Leclercq, 2002). This
323	seems to be the same for Lactobacillus; for example, human vaginal isolates of L. rhamnosus had very
324	high erythromycin resistance (MIC = 2048 $\mu$ g mL <sup>-1</sup> ), which was presumed to stem from a transition
325	mutation (A <sup>2058</sup> to G <sup>2058</sup> ) occurred in 23S rRNA (Begovic <i>et al.</i> 2009). Presence of <i>ermA</i> , <i>ermB</i> and
326	ermC and the single mutation in 23S rRNA were determined as plausible causes of erythromycin
327	resistance in L. rhamnosus Pen (Waśko et al. 2012). Further studies are needed to avoid the risk of
328	disseminating antibiotic resistance genes from the FSMM strains to other bacteria by horizontal gene
329	transfer.
330	Presence in high quantity of hydrogen peroxide, which is commonly produced by lactobacilli as
331	an antibacterial substance, enhances oxidation of hemoglobin in the blood agar plate, resulting in the
332	formation of greenish methemoglobin without complete destruction of the RBCs (Rabe & Hillier
333	2003). Despite $\alpha$ -hemolysis observed for the three FSMM strains, destruction of the RBCs were not
334	confirmed by the test tube assay, therefore the FSMM strains have negligible hemolytic activities in
335	agreement with previous reports (Maragkoudakis et al. 2006; Vesterlund et al. 2007; Kõll et al. 2010;
336	Rodrigues da Cunha et al. 2012).

337 Weak clear zones observed around the colonies of strains FSMM22 and FSMM26 on the mucin

338	containing agar plates seemed to be an experimental artifact, because abrasions were observed in the
339	edges of bacterial spots of strains FSMM22 and FSMM26 during the amido black destaining step.
340	It has been reported that most of bacterial isolates from patients of endocarditis produced N-acetyl-
341	$\beta$ -glucosaminidase and $\alpha$ -galactosidase, which were likely to incorporate with $\alpha$ -chymotrypsin to
342	enhance hydrolysis of glycoproteins of the host, and therefore these enzymes lead tissues into damaged
343	during development of endocarditis (Oakey et al. 1995). Whereas the three FSMM strains showed no
344	detectable N-acetyl- $\beta$ -glucosaminidase and $\alpha$ -galactosidase activities, hence risks of the $\alpha$ -
345	chymotrypsin activities in the FSMM strains are little. Clostridia and Bacteroides, which have high
346	levels of $\beta$ -glucosidase activities, are the major causative bacteria for colon cancer, and most of
347	lactobacilli are known to produce much lower $\beta$ -glucosidase activities than such major causative
348	pathogens (Wollowski et al. 2001).
349	Some pathogenic bacteria including Helicobacter pylori are known to capture the host-producing
350	plasminogen via lysine residues of their cell surface proteins and to utilize it as their own tool to
351	degrade host's extracellular matrix (ECM) proteins (Lähteenmäki et al. 2005). Plasminogen is
352	activated by tPA and uPA as well as by prokaryotic activators such as staphylokinase and streptokinase,
353	resulting in a formation of a proteolytic enzyme, plasmin (Lähteenmäki et al. 2001). Strain FSMM15
354	had no remarkable plasminogen binding ability as well as LGG, while strains FSMM22 and FSMM26

356	ability should be strain-dependent. This observation was corresponding to the previous report in which
357	cell surface associated proteins extractable with PBS from several probiotic and non-probiotic strains,
358	including L. gallinarum T-50, L. johnsonii F133, L. amylovorus JCM 5807, L. gasseri JCM
359	1130/ATCC 19992, and LGG, demonstrated different binding abilities to hPlg (Hurmalainen et al.
360	2007). Conflicting results were found in LGG in this report and the previous one (Hurmalainen <i>et al.</i>
361	2007), but this is likely to stem from differences in experimental conditions such as incubation time
362	with substrates. Ishibashi and Yamasaki (2001) mentioned that cell surface proteins of bacteria were
363	associated with their aggregation abilities, which can be evaluated by measuring the cell surface
364	hydrophobicity. It has been revealed that the amount of cell surface proteins of strain FSMM22
365	extracted with 1 M LiCl was apparently higher than strain FSMM15 (unpublished data). Therefore,
366	we speculated that larger amount of cell surface proteins in strain FSMM22 reinforced the co-
367	aggregation and the binding of plasminogen on the cell surface using hydrophobic interactions as the
368	major driving force.
369	The anti-pathogenic effects of the FSMM strains were comparable to ampicillin and nisin,
370	therefore they are promising anti-enteropathogenic agents as long as they could proliferate and
371	produce sufficient amounts of organic acids in one's intestine. According to Keersmaecker et al.

- 372 (2006), strong antimicrobial activity of LGG to S. Typhimurium was mediated by production of
- 373 organic acids, mainly lactic acid, when cultured in MRS medium.

374	Auto-aggregation capability of LAB is considered to correlate with the host adhesion, whereas co-
375	aggregation with pathogenic bacteria is expected to interfere the pathogenic bacterial infection to the
376	host (Collado et al. 2008). Although strain FSMM15 and LGG showed similar auto-aggregation
377	properties, a significant difference observed in their cell-surface hydrophobicities. Therefore, cell-
378	surface hydrophobicity was not only the determinant of the strength of auto-aggregation in lactobacilli.
379	Despite the moderate and high auto-aggregation abilities and the cell surface hydrophobicities of the
380	FSMM strains, none of them co-aggregated with S. Typhimurium LT-2 in this study, hence the major
381	driving force of the FSMM strains to co-aggregate with S. Typhimurium LT-2 was unlikely to
382	hydrophobic interactions. Similar conflicting result was reported that several factors other than
383	hydrophobicity such as passive forces, electrostatic interaction, and the presence of lipoteichoic acids,
384	lectins, and soluble secreted proteins may responsible for aggregation abilities of bacteria (Solieri et
385	<i>al.</i> 2014).

### **5.** Conclusions

388 Safety assessments and probiotic characteristics such as antimicrobial activities were investigated 389 on the three potential probiotic strains isolated from a fermented mare's milk *in vitro*. As far as the 390 safety and probiotic characteristics investigated in this study, strain FSMM15 was similar to LGG, 391 while strains FSMM22 and FSMM26 were very alike. Moreover, it was apparent that these

392	characteristics were entirely strain-dependent. Despite broader anti-pathogenic spectrum of strains
393	FSMM22 and FSMM26, FSMM15 was taken as the best probiotic candidate due mainly to the lack
394	of plasminogen binding ability. However, risks of strain FSMM15 for human health remains latent, as
395	being mentioned for LGG as a causative agent of opportunistic infection. To ensure this, in vivo
396	experiments should be further performed. It is also important to elucidate presence of pili, biogenic
397	amine and D-lactate productions, and molecular mechanism of horizontal transfer of antibiotic
398	resistant genes in strain FSMM15.
399	
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#### 533 Figure legends

534Fig. 1. Plasminogen binding and activation activities of strain FSMM15 (A), strain FSMM22 (B), 535strain FSMM26 (C), and LGG (D). The hPlg binding activity was evaluated by measuring the 536conversion of bound hPlg on the cell surface of tested strains into plasmin by addition of plasmin 537substrate (S-2251) in the presence of tPA (gray bars) and uPA (filled bars). Bacterial cells incubated 538only with S-2251 was used as a negative control (NC, open bars). Bacterial cells pre-treated with hPlg 539were incubated with S-2251 in the absence of PAs (hatched bars) to clarify presence of endogenous 540PA activities. The data represent means  $\pm$  SD of three replications. Asterisks represent significantly 541different with the negative control and pre-treated bacteria with hPlg in the absence of PAs (P < 0.05).

	MIC values (µg mL <sup>-1</sup> )											
Strains	Am (4)	Gm (16)	Km (64)	Sm (32)	Em (1)	Cl (1)	Tc (4)	Cm (4)				
FSMM15	0.25	4	64	16	8	4	4	4				
FSMM22	0.25	4	64	8	8	4	1	4				
FSMM26	0.25	4	64	8	4	4	1	4				
LGG	0.25	2	64	16	8	4	0.5	4				

Table 1. MIC values of *L. rhamnosus* FSMM strains and LGG towards eight antibiotics determined by the broth microdilution method.

Am, ampicillin; Gm, gentamycin; Km, kanamycin; Sm, streptomycin; Em, Erythromycin; Cl, Clindamycin; Tc, tetracycline; Cm, Chloramphenicol. The microbial break points for the eight antibiotics were indicated in the parentheses ( $\mu$ g mL<sup>-1</sup>). MIC values surpassing the microbiological breakpoint proposed by the EFSA Panel on Additives and Products or Substances used in Animal Feed were shaded in gray (EFSA, 2012).

Enteropathogenic bacteria	Antimicrobial activity (diameter in mm)										
Enteropatriogenie bacteria	FSMM15	FSMM22	FSMM26	LGG	Ampicillin	Nisin					
S. Typhimurium LT-2	$12.2\pm1.1^{Aa}$	$15.8\pm2.8^{\text{Aab}}$	$18.4 \pm 1.3^{\text{Ab}}$	$14.4\pm1.3^{\rm Aa}$	$15.2\pm0.3^{\text{Aab}}$	0 <sup>Ac</sup>					
L. monocytogenes No. 154	$10.1\pm0.2^{\text{Ba}}$	$14.3\pm0.6^{\text{Ab}}$	$13.9\pm0.2^{\rm Bb}$	$13.0\pm0B^{\text{bc}}$	$27.3\pm0.6^{\text{Bd}}$	$9.0\pm0^{Be}$					
MRSA No. 29	$0^{Ca}$	$9.\ 7\pm0.6^{\text{Bb}}$	$8.2\pm0.4^{Cc}$	$9.7\pm0.6^{\text{Cb}}$	0 <sup>Ca</sup>	$9.0\pm0^{Bbc}$					
MSSA No. 18	$0^{Ca}$	$11.7\pm0.3^{\text{Cb}}$	$11.7\pm0.4^{\text{Db}}$	$10.1\pm0.3^{Cc}$	$30.0\pm0^{\text{Dd}}$	$15.3\pm0.6^{\text{Ce}}$					
<i>E. coli</i> O157 No. S-12	$8.6\pm0.6^{\text{Da}}$	$10.6\pm0.1^{CBb}$	$10.7\pm0.3^{\text{Db}}$	$10.0\pm0^{Cb}$	$8.0\pm0^{\text{Ea}}$	$0^{Ac}$					
S. sonnei No. 134	$10.3\pm0.5^{\text{Ba}}$	$15.3\pm0.4^{\text{Ab}}$	$15.8\pm0.9^{\text{Eb}}$	$13.3\pm0.4^{Bc}$	$11.0\pm0^{\text{Fa}}$	$0^{Ac}$					

Table 2. Antimicrobial activity of the cell-free culture supernatant of FSMM strains and LGG against six enteropathogenic bacteria.

The antimicrobial activities were evaluated by measuring the diameters of growth inhibition zones around the discs as mean  $\pm$  SD from three replications. Different superscript lowercase letters in the same row and different superscript uppercase letters in the same column represent significant differences (p < 0.05) of antimicrobial activity among each strain, ampicillin, and nisin to the enteropathogenic bacteria.

Strains		Auto-aggregation		Co-aggregation with	%Hydrophobicity
	3 h	6 h	24 h	S. Typhimurium LT-2	
FSMM15	$6.2\pm5.2^{\mathrm{Aa}}$	$24.4\pm6.4^{Ab}$	$51.6\pm5.6^{\rm Ac}$	$-0.3 \pm 0.2$	$90.8\pm2.1^{\rm A}$
FSMM22	$78.7\pm2.7^{Ba}$	$96.3\pm2.3^{\text{Bb}}$	$99.7\pm0.6^{\rm Bc}$	$-2.1 \pm 1.6$	$99.7\pm0.1^{\rm B}$
FSMM26	$71.8\pm8.6^{\rm Ba}$	$86.5\pm2.9^{\text{Bb}}$	$97.1 \pm 1.3^{\text{Bb}}$	$-0.4 \pm 0.2$	$99.5\pm0.2^{\rm B}$
LGG	$11.6\pm3.7^{\rm Aa}$	$30.1\pm4.0^{\text{Ab}}$	$48.5\pm4.7^{\rm Ac}$	$0.0\pm0.1$	$61.3\pm4.5^{\rm C}$

Table 3. Auto-aggregation, co-aggregation, and cell-surface hydrophobicity of the FSMM strains and LGG.

Data were represented as mean  $\pm$  SD from three replications. Different superscript lowercase letters in the same row and different superscript uppercase letters in the same column represent significant differences (p < 0.05) of auto-aggregation activity or %Hydrophobicity among each strain. As the data was negative, co-aggregation activities with *S*. Typhimurium LT-2 were not included in the statistical analysis.

			Bile	e salt bioco	nversion act	tivity			nolysis ivity	er		Undesirable zymatic activity			Mucin degradation activity	
Strains	Bile salt deconjugation					Conversion from	Agar				0.01	0.01	NA-β-	Agar	Test	
	TCA	GCA	TDCA	GDCA	TCDCA	GCDCA	CA to DCA	•	tube assay	α-Chy	α-Gal	β-Glc	β-Glu	Gluc	plate assay	tube assay
FSMM15	-	-	_	_	_	_	-	α	-	+	-	-	+	_	-	-
FSMM22	-	-	_	_	_	_	-	α	-	+	-	-	+	_	-	-
FSMM26	-	-	_	_	_	_	-	α	-	+	-	-	+	_	-	-
LGG	-	-	-	-	-	-	-	α	-	+	-	-	+	-	-	-
L. reuteri ATCC8287	ND	ND	ND	ND	ND	ND	ND	γ	-	ND	ND	ND	ND	ND	ND	ND
E. faecalis ATCC19433	_	-	+	+	_	_	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND
HFB	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	+	+

#### Table 4. Bile salt bioconversion, haemolysis, undesirable enzymatic, and mucin degradation activities of the tested bacteria.

Plus and minus indicate positive and negative results, respectively. ND, not determined; HFB, human fecal bacteria; TCA, taurocholic acid; GCA, glycocholic acid; TDCA, taurochenodeoxycholic acid; GDCA, glycodeoxycholic acid; TCDCA, taurochenodeoxycholic acid; TCDCA, taurochenodeoxycholic acid; CA, cholic acid; DCA, deoxycholic acid;  $\alpha$ , alpha type haemolysis;  $\gamma$ , gamma type haemolysis;  $\alpha$ -Chy,  $\alpha$ -chymotrypsin;  $\alpha$ -Gal,  $\alpha$ -galactosidase;  $\beta$ -Glc,  $\beta$ -glucuronidase;  $\beta$ -Glu,  $\beta$ -glucosidase; NA- $\beta$ -Gluc, N-acetyl- $\beta$ -glucosamindase.

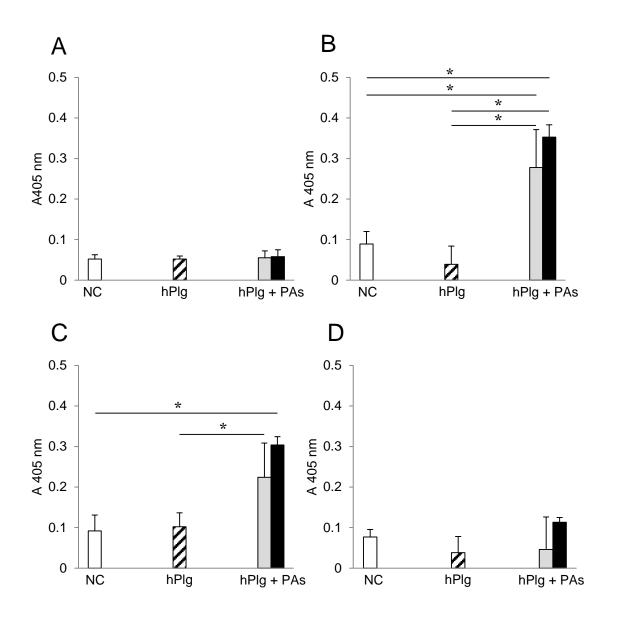


Fig. 1. Aryantini et al.