

1 **First clinical isolation report of azole-resistant *Aspergillus fumigatus* with**
2 **TR₃₄/L98H-type mutation in Japan**

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23 **Abstract**

24 Recently, azole-resistant *Aspergillus fumigatus* containing a 34-bp or 46-bp tandem
25 repeat in the promoter region of *cyp51A* combined with amino acid substitution(s) has
26 appeared in the environment worldwide, including several Asian countries. In this study, we
27 isolated the 34-bp tandem repeat-containing azole-resistant *A. fumigatus* strain OKH50 from
28 a patient in Japan in May 2016. The patient had not been treated with medical azoles before
29 the strain isolation, suggesting that the resistant property was acquired before infection. In
30 addition, the patient had not traveled overseas. Our analysis of short tandem repeats of the
31 strain indicates that the strain is strongly related to the 34-bp tandem repeat-containing
32 isolates from European countries and Asia-Oceania countries but not to susceptible isolates
33 from Japan, suggesting that the strain was introduced from overseas and might spread in
34 Japan.

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36 Keywords: azole, *Aspergillus fumigatus*, *cyp51A*, TR₃₄/L98H

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39 *Aspergillus fumigatus* is a commonly found fungus in the environment and is known
40 to be the leading causative agent of aspergillosis. Although azole antifungals are widely used
41 to treat aspergillosis, an azole-resistant strain of *A. fumigatus* has recently appeared. Two
42 mechanisms for the acquisition of azole resistance are the selection route in a patient and that
43 in the environment [1].

44 Most of the azole-resistant isolates found in the environment harbor the *cyp51A*
45 mutation consisting of a tandem repeat in the *cyp51A* promoter region and amino acid
46 substitution(s) named as TR₃₄/L98H [2] or TR₄₆/Y121F/T289A [3]. Hagiwara and colleagues
47 recently reported the first Japanese case of isolation of TR₄₆/Y121F/T289A [4]. However, the
48 TR₃₄/L98H mutant has not been reported in Japan. In this manuscript, we report the first case
49 of isolation of the TR₃₄/L98H mutant of *A. fumigatus* in Japan.

50 The *Aspergillus fumigatus* OKH50 strain was isolated from the sputum of a 77-year
51 old male with a tumor at a hospital in Obihiro City in May 2016. He had been a government
52 worker, with a hobby for gardening; he lived in a housing complex surrounded by
53 agricultural land in Obihiro City. The patient had a past history of hepatitis C followed by
54 liver cirrhosis. The illness included a hepatocellular carcinoma, bronchial asthma, and type 2
55 diabetes, and the patient had not been diagnosed with aspergillosis when OKH50 was
56 isolated. During the treatment of the hepatocellular tumor, wet cough and low-grade fever

57 appeared. Aspergillosis was not diagnosed initially because of the absence of typical findings
58 of pulmonary aspergillosis on chest X-ray photography. After isolation from a sputum sample,
59 the strain was visually identified as *A. fumigatus*, and the growth and conidia formation were
60 not distinguished from other susceptible strains of *A. fumigatus* (data not shown);
61 consequently, the patient was diagnosed with aspergillosis. In addition, the patient's serum
62 showed high titer against *Aspergillus* antigen in an immunodiffusion test (data not shown).
63 Genomic DNA was extracted from the clinical isolate and identified as *A. fumigatus* by
64 determining the internal transcribed spacer and D1/D2 regions, and partial nucleotide
65 sequences of β -tubulin, *rodA*, and calmodulin genes. Simultaneously, the minimal inhibitory
66 concentrations (MICs) against *A. fumigatus* OKH50 were determined by the microdilution
67 method based on CLSI M38-A2. The strain OKH50 revealed a resistance to voriconazole
68 (MIC, 4 or 8 $\mu\text{g}/\text{mL}$) and itraconazole (MIC, $>8 \mu\text{g}/\text{mL}$).

69 To identify mutations in *cyp51A* and the upstream regions of *A. fumigatus* OKH50,
70 we determined the following nucleotide sequences: 34-bp tandem repeats in the *cyp51A*
71 promoter region and L98H mutation in Cyp51A. The repeats in the promoter region of
72 OKH50 were located at the identical site to those in previously reported sequences possessing
73 34-bp tandem repeats (Figure 1).

74 Next, to examine the dendrogram analysis based on short tandem repeat (STR)

75 typing, we determined STRs and analyzed them as previously described [4,5]. As shown in
76 Figure 2, the OKH50 strain was clustered with TR34/L98H strains isolated in the
77 Netherlands, Australia, Denmark, India, Southern Taiwan, and Kuwait. On the other hand, the
78 OKH50 strain was not clustered around susceptible *A. fumigatus* strains isolated in Japan.
79 T18_R strain and Iranian strains including Hamid 02 in the cluster were isolated from soil
80 samples in Denmark and Iran, respectively [6, 7]. These data suggest that soil containing
81 azole-resistant strains traveled with human or material, or were dispersed by air current. The
82 patient had not traveled overseas, suggesting that the OKH50 or parental strain was
83 introduced from overseas and might spread in Japan. In our previous study, neither the
84 TR34/L98H- nor the TR46/Y121F/T289A-types were isolated from soil samples in Tokachi
85 area [8]; therefore, we need to maintain continuous surveillance of *A. fumigatus* isolates in
86 Japan, including Hokkaido.

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93 **Conflict of Interest**

94 None

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144 Figure Legends

145 **Fig. 1** Nucleotide sequences of *A. fumigatus cyp51A* promoter region of Af293 (wild type),
146 OKH50, and three other TR₃₄/L98H strains

147 The first boxed site indicates the original 34-bp region. The second boxed site indicates the
148 34-bp repeat region. Grey-shaded sites indicate the start codon.

149 **Fig. 2** Dendrogram of 34 *A. fumigatus* strains based on STR typing

150

Figure 1

Aspergillus fumigatus Af293 *cyp51A* wild type
OKH50 *cyp51A*
gij193297421|gb|EU626235.1| CM 2627 *cyp51A*
gij815937468|gb|KP270713.1| s40 *cyp51A*
gij815937462|gb|KP270710.1| s42b *cyp51A*

Aspergillus fumigatus Af293 *cyp51A* wild type
OKH50 *cyp51A*
gij193297421|gb|EU626235.1| CM 2627 *cyp51A*
gij815937468|gb|KP270713.1| s40 *cyp51A*
gij815937462|gb|KP270710.1| s42b *cyp51A*

Aspergillus fumigatus Af293 *cyp51A* wild type
OKH50 *cyp51A*
gij193297421|gb|EU626235.1| CM 2627 *cyp51A*
gij815937468|gb|KP270713.1| s40 *cyp51A*
gij815937462|gb|KP270710.1| s42b *cyp51A*

Aspergillus fumigatus Af293 *cyp51A* wild type
OKH50 *cyp51A*
gij193297421|gb|EU626235.1| CM 2627 *cyp51A*
gij815937468|gb|KP270713.1| s40 *cyp51A*
gij815937462|gb|KP270710.1| s42b *cyp51A*

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G T T G T C T A G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A A G T T G C C T A A T T A C T A A G G T G -265
G T T G T C T A G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A A G T T G C C T A A T T A C T A A G G T G -265
G T T G T C T A G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A A G T T G C C T A A T T A C T A A G G T G -265
G T T G T C T A G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A T C A C G C G G T C C G G A T G T G T G C T G A G C C G A A T G A A A G T T G C C T A A T T A C T A A G G T G -265

T A G T T C C A G C A T A C C A T A C A C C C T A A C T C A T A C T A C G G T A G G T A G A T C T A C T T A C C T A T G A A C C T A T A T T G G T A G G T A G G T G A A T A T A A A A T A C A G C A T G -165
T A G T T C C A G C A T A C C A T A C A C C C T A A C T C A T A C T A C G G T A G G T A G A T C T A C T T A C C T A T G A A C C T A T A T T G G T A G G T A G G T G A A T A T A A A A T A C A G C A T G -165
T A G T T C C A G C A T A C C A T A C A C C C T A A C T C A T A C T A C G G T A G G T A G A T C T A C T T A C C T A T G A A C C T A T A T T G G T A G G T A G G T G A A T A T A A A A T A C A G C A T G -165
T A G T T C C A G C A T A C C A T A C A C C C T A A C T C A T A C T A C G G T A G G T A G A T C T A C T T A C C T A T G A A C C T A T A T T G G T A G G T A G G T G A A T A T A A A A T A C A G C A T G -165

G A A C A T G T T T T T C A T T A G C T G G T C T C T C A T T C G T C C T T G T C C T A G G C C T T A A G G A A T C C A G T A T A T G A A A T A A T C C C T C T T A T C C A T T T T C C T C T T A T T C -65
G A A C A T G T T T T T C A T T A G C T G G T C T C T C A T T C G T C C T T G T C C T A G G C C T T A A G G A A T C C A G T A T A T G A A A T A A T C C C T C T T A T C C A T T T T C C T C T A T T C -65
G A A C A T G T T T T T C A T T A G C T G G T C T C T C A T T C G T C C T T G T C C T A G G C C T T A A G G A A T C C A G T A T A T G A A A T A A T C C C T C T T A T C C A T T T T C C T C T A T T C -65
G A A C A T G T T T T T C A T T A G C T G G T C T C T C A T T C G T C C T T G T C C T A G G C C T T A A G G A A T C C A G T A T A T G A A A T A A T C C C T C T T A T C C A T T T T C C T C T A T T C -65

T T T T T C A T T T C C C T C A T C A C T G C A A C T C T A A T C C T C G G G C T C A C C C T C C C T G T G T C T C C T C G A A A T G 3
T T T T T C A T T T C C C T C A T C A C T G C A A C T C T A A T C C T C G G G C T C A C C C T C C C T G T G T C T C C T C G A A A T G 3
T T T T T C A T T T C C C T C A T C A C T G C A A C T C T A A T C C T C G G G C T C A C C C T C C C T G T G T C T C C T C G A A A T G 3
T T T T T C A T T T C C C T C A T C A C T G C A A C T C T A A T C C T C G G G C T C A C C C T C C C T G T G T C T C C T C G A A A T G 3
T T T T T C A T T T C C C T C A T C A C T G C A A C T C T A A T C C T C G G G C T C A C C C T C C C T G T G T C T C C T C G A A A T G 3
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Figure 2

