

1 **Aerobic ammonia removal with heterotrophic nitrification and**
2 **denitrification of *Alcaligenes faecalis* strain No.4 to mitigate nitrogenous**
3 **pollution caused by piggery wastewater: a feasibility study**

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16
17 **Abstract**

18 The ammonia removal ability of heterotrophic bacteria *Alcaligenes faecalis* strain No.4
19 isolated from sewage sludge was examined in a batch operation to mitigate ammonia from
20 piggery wastewater, consequently preventing pollution by the inflow of wastewater from
21 piggeries adjacent to rivers. If this process works functionally, it can be effective in
22 controlling nitrous oxide (N₂O) and nitrate (NO₃⁻) emissions derived from animal
23 agriculture, the heterotrophic nitrifying and the aerobic denitrifying effect of *A. faecalis*
24 strain No.4 on high-strength ammonium (NH₄⁺-N) were evaluated in wastewater
25 collected from a piggery. The removal rate by *A. faecalis* strain No.4 on high-strength
26 ammonium (NH₄⁺-N) was 0.97 kg N/m³/day which was more than 100 fold greater than
27 that achieved using conventional aerobic nitrification and anaerobic denitrification
28 processes. An aerobic one-step denitrification system using *A. faecalis* strain No.4 can be
29 proposed to remove ammonia and phytopathogens from piggery wastewater with high
30 efficiency and prevent water pollution in adjacent rivers.

31
32 Ethical Compliance: All procedures performed in studies involving human participants
33 were in accordance with the ethical standards of the institutional and/or national research
34 committee and with the 1964 Helsinki Declaration and its later amendments or
35 comparable ethical standards.

1 Author Contributions: J. Takahashi, M. Shoda, L. Jianhua, and L. Ning contributed to the
2 design and implementation of the research, J. Takahashi and M. Shoda analyzed the
3 results and wrote the manuscript. J. Takahashi conceived the original and supervised the
4 project.

5
6 **Keywords:** *Alcaligenes faecalis* strain No.4, heterotrophic, nitrification, denitrification
7 ammonia, nitrous oxide

9 **Introduction**

10 The world population has been parallel to ammonia synthesis from atmospheric paired
11 nitrogen fixed by the Haber-Bosch process in the early 20th century (Fig.1). According
12 to a quantitative estimation, approximately 50% of the global population seems to depend
13 on synthetic ammonia fertilizers (Erisman, et. al., 2008). Hence, it follows that currently
14 3.9 billion population depend on the synthetic nitrogen fertilizer. However, the deposition
15 of nitrogen from the atmosphere on terrestrial surfaces was estimated at 125 Tg/year in
16 the early 21st century (Gruber & Galloway, 2008). Eighty% of the deposition is emitted
17 from industrially fixed nitrogen and the remaining 20% is emitted from the combustion
18 of fossil fuels. Once the stable paired nitrogen (N_2) in the atmosphere is chemically
19 transformed to ammonia and urea as nitrogen fertilizers, the reactive nitrogen compounds
20 are no longer under control owing to nitrification and denitrification in the soil,
21 hydrosphere, or atmosphere. Thus, these chemical transformations in reactive nitrogen
22 must be controlled during the ammonia stage. Thus, it is important to reduce the amount
23 of ammonium nitrogen (NH_4^+ -N) in the environment, reduce the influx of NH_4^+ -N into
24 the environment, and promote the recycling of NH_4^+ -N.

25 Some harmful nitrogenous intermediates are formed and emitted through
26 biochemical reactions, including NO_3^- and nitrite (NO_2^-) in the soil and then the
27 hydrosphere due to leaching (Takahashi, 2006). High concentrations of NO_3^- absorbed as
28 a nutrient by grasses and other plants often cause NO_3^- - NO_2^- poisoning and
29 methemoglobinemia caused by nitrate-reducing bacteria in the rumen of ruminants
30 (Takahashi et al., 1989). However, the reduction of nitrate in the rumen inhibits methane
31 production by rumen methanogens, another greenhouse gas, owing to hydrogen uptake
32 (Takahashi et al., 1991). Nitrogen oxides (NO_x) are derived from the excess amount of
33 nitrogen fertilizers and manures such as nitric oxide (NO), which contributes to the acidity
34 of rainwater, and nitrous oxide (N_2O), which is an ozone depletion substance in the
35 stratosphere and is a powerful greenhouse gas, although both gases play important roles
36 in medical physiology (Rosselli, et al., 1998; Mennerick, et al., 1998).

1 Improper management of livestock wastewater causes eutrophication in the
2 hydrosphere due to NO_3^- and N_2O emissions in the atmosphere, which is attributed to the
3 excess amount of $\text{NH}_4^+\text{-N}$. It is a common issue in Asian and African developing and
4 emerging countries, where abrupt population expansion and urbanization have progressed
5 along with economic development (Nyenje, et al., 2010; Lin, et al., 2021). Thus,
6 excessively fixed reactive $\text{NH}_4^+\text{-N}$ should eventually return to atmospheric N_2 through
7 complete nitrification and denitrification without the deposition and emission of any
8 pollutant nitrogenous intermediates. To achieve this, it is fundamentally necessary to
9 reduce the excessive input of nitrogen into the environment. $\text{NH}_4^+\text{-N}$, which is a pollutant
10 and a burden on the environment, must be removed by efficient nitrification and
11 denitrification. Most biological approaches to ammonia removal from livestock
12 wastewater have conventionally been implemented by aerobic nitrification and anaerobic
13 denitrification using heterotrophs and autotrophs (Carrera et al., 2003). However,
14 autotrophic bacteria are presumably unsuitable for livestock wastewater treatment
15 because of the high concentrations of ammonium and organic matter (Ruiz et al., 2003).
16 Furthermore, the long retention time of autotrophic nitrification has been attributed to the
17 slow proliferation rate of bacteria (Richardson and Watmouth, 1999). In an attempt to
18 determine the biological ammonia removal ability, Joo et al. (2005a, 2005b) isolated
19 heterotrophic bacteria, *A. faecalis* strain No.4, from sewage sludge, which has
20 heterotrophic nitrification and aerobic denitrification abilities. They demonstrated that *A.*
21 *faecalis* strain No.4 could achieve prompt removal of ammonia from piggery wastewater
22 and efficient denitrification from the removed ammonia under high-strength $\text{NH}_4^+\text{-N}$ and
23 chemical oxygen demand (COD) (Joo et al., 2006).

24 The present study deals with a feasibility study in *A. faecalis* strain No.4 removes
25 $\text{NH}_4^+\text{-N}$ from piggery effluent water according to Joo et al. (2006) and consequently could
26 improve river water quality polluted by flowing piggery effluent into the river.

27 28 **Materials and Methods**

29 First, the properties of pH, dissolved oxygen (DO), and liquid temperature were surveyed
30 in wastewater containing effluent from a piggery beside a tributary of the Yangtze River
31 located in the suburb of Shanghai, China (Fig. 2). Within a 20 km radius from east to west
32 of this river basin, there is a concentration of 107 piggeries, including the piggery
33 surveyed in this study. There are several factory complexes in the river basin, including
34 textile factories, but industrial wastewater does not flow into the river. Thus, annual
35 changes in water quality (temperature, pH, DO, and EC) in the downstream most reaches
36 of the study area were monitored.

1 In general, piggeries in this area manage manure without solid-liquid separation. The
2 liquid waste mixed with cleaning water from livestock barns is dumped into the river
3 through a drainage ditch, leading to a tributary stream, and the solid part that settles in the
4 drainage ditch is used as a fertilizer after it dries naturally. Water qualities of pH, DO,
5 liquid temperature, and electrical conductivity (EC) upstream and downstream of the
6 piggery.

7 Subsequently, NH_4^+ -N removal from piggery wastewater contaminated with swine
8 effluent was performed using *A. faecalis* strain 4. (Shoda & Hirai, 2006). Table 1 shows
9 the culture medium used for the growth and cultivation of *A. faecalis* strain 4. Table 2
10 shows the composition of trace elements added to the medium. The cultured cells of *A.*
11 *faecalis* strain No.4 were mixed with 50 % glycerol solution and stored at -84°C . The
12 preparation and characteristics of *A. faecalis* strain No.4 were determined according to
13 the procedure described by Joo et al. (2006).

14 Fig. 3 shows a small-scale (working volume 300 ml) jar fermenter (BMJ-01PI, Able Corp.,
15 Tokyo) and the sensors used. To determine the optimal incubation conditions to remove
16 NH_4^+ -N derived from piggery effluent, the DO concentration and pH were continuously
17 monitored using a DO sensor (SDOC-12F, Able Corp., Tokyo) and pH sensor (Easyferm
18 Plus 225, Hamilton Bonaduz AG, Bonaduz). NH_4^+ -N concentration was monitored using
19 an ammonium sensor (SNH-10, Able Corp., Tokyo). The aeration rate was set at 300
20 ml/min and the temperature was maintained at 30°C . The agitation speed was set at either
21 400 rpm (rotation per minute) or 700 rpm. Ammonia removal experiments were carried
22 out by the addition of 45 ml *A. faecalis* strain No. 4 and 2 ml defoaming agent with citric
23 acid (denoted as S in figures) or without citric acid (denoted as C in figures (Control) as
24 a carbon source to 255 ml piggery sample fluid. When vigorous foaming occurred, the
25 defoaming sensor deformed the culture.

27 Results

28 The wastewater quality in the piggery was indicated such as pH 8.44, DO 0.28 mg/l, fluid
29 temperature 9.5°C , chemical oxygen demand Cr (COD_{Cr}) 2160 mg, biochemical oxygen
30 demand (BOD) 1020 mg/l, and NH_4^+ -N 1100 mg/l. The total content of the observed acids
31 (oxalic acid, citric acid, lactic acid, formic acid, acetic acid, propionic acid, iso-butyric
32 acid, and butyric acid) was approximately 1000 mg/l. These organic acids were the main
33 carbon sources for *A. faecalis* strain No. 4. For the upstream water quality, pH 6.85, EC
34 472 $\mu\text{S}/\text{cm}$, DO 5.12 mg/l, and fluid temperature 9.5°C were observed. In downstream
35 water, pH 6.83, EC 470 $\mu\text{S}/\text{cm}$, DO 4.32 mg/l, and fluid temperature 9.5°C were
36 quantified. The EC values in the upstream and downstream areas were slightly above the

1 surface water standard limit (400 $\mu\text{S}/\text{cm}$). The DO concentrations were below the WHO
2 (2011) standard limit for drinking water and below the surface water standard limit (6
3 mg/l); however, the lower values in the downstream area indicated slightly higher
4 contamination (Mahadevan, 2020).

5 Table 3 shows annual changes in water quality (temperature, pH, DO, and EC) in the
6 downstream most reaches of the study area. Water temperature in rivers is affected by
7 temperature and has large seasonal variations, but DO also fluctuates widely. A negative
8 correlation (-0.61 , $p < 0.05$) was found between water temperature and DO. The average
9 river DO throughout the year was 4.82 mg/l, below the lower limit of the guideline. The
10 annual mean value of EC was 527 $\mu\text{S}/\text{cm}$, well above the standard value.

11 Fig. 4 shows the effect of 20 g/l sodium citrate addition on ammonia removal by *A.*
12 *faecalis* strain No.4 at an aeration rate of 300 ml/min, temperature of 30°C, agitation
13 speed of 400 rpm, and initial pH of 8.9. There was no significant difference in the change
14 in ammonia concentration between the experimental (S) and control (C) incubations.
15 However, DO in C leveled off in 20h, but in S, the gradual decline in DO indicated
16 continuous consumption of oxygen by *A. faecalis* strain No.4 using citrate as a carbon
17 source, which reflected a constant decrease in ammonia concentration to 0 in 70h. The
18 initial pH value of 8.9 in the sample fluid was relatively high and inhibited the activity of
19 *A. faecalis* strain No.4, and the pH increased to 9.0. This led to decreased activity of *A.*
20 *faecalis* strain No.4, especially for *A. faecalis* strain No.4 in C. Total organic acid content
21 in the original wastewater of approximately 10 g/l was presumably consumed in 20h.

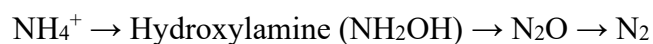
22 Fig. 5 shows the effect of the initial pH 8.0 and 10 g citrate addition on ammonia
23 removal by *A. faecalis* strain No.4. The other operational conditions are the same as those
24 shown in Fig. 4. The progressive removal of ammonia by active *A. faecalis* strain No.4 in
25 S medium was confirmed because oxygen deficiency was observed after 10 h of
26 incubation. At 20 h, an increase in DO corresponded to almost complete exhaustion of
27 the carbon sources. Foaming is not a problem. To prevent oxygen deficiency in the
28 bacteria, the agitation speed was set at 700rpm, and the initial pH was adjusted to 8.0 in
29 the next experiment.

30 Fig. 6 shows the effect of adding 10 g/l citrate on the ammonia removal effect of *A.*
31 *faecalis* strain No.4 at an aeration rate of 700 ml/min, temperature of 30 °C, agitation
32 speed of 300 rpm, and initial pH of 8.0. There was no significant improvement in the
33 removal rate of ammonia due to heavy foaming.

35 Discussion

36 A survey of annual changes in water quality (temperature, pH, DO, and EC) collected in

1 the downstream most reaches of the study area indicated a relatively higher value of EC
 2 and lower value of DO than the WHO standard (WHO, 2011). DO was shown to be
 3 negatively affected by water temperature, but the variability of these values appears to
 4 depend on the amount of piggeries effluent flowing into the river. DO was lower upstream
 5 than downstream of the drainage outlets of the piggery surveyed, but there was little
 6 difference in EC. This suggests that the entire river area under study is already being
 7 contaminated by piggeries effluent. Because the effluent polluted with swine manure from
 8 the piggeries adjacent to the river flows into the river, nitrogen compounds such as
 9 ammonia derived from livestock manure or its oxidation product, NO_3^- -N, are thought to
 10 have increased the concentration of dissolved ions, resulting in effluent with high EC.
 11 This suggests that ammonia in the effluent is one of the major factors for NO_3^- -N
 12 contributing to river eutrophication in rivers (Tedengren, 2021). Furthermore, the
 13 emission of N_2O into the atmosphere due to the reduction of NO_3^- produced from
 14 ammonia derived from livestock manure is considered to contribute to global warming as
 15 a powerful greenhouse gas along with ozone layer depletion (Torres, et al., 2016).
 16 Therefore, the removal of ammonia from swine effluent is an important issue for
 17 environmental health, not only in the hydrosphere but also in the atmosphere. Biological
 18 denitrification is an environmentally friendly method for treating wastewater containing
 19 livestock manure. Thus, ammonia removal from piggery wastewater using *A. faecalis*
 20 strain No.4 was conducted under three conditions. From the results of three different
 21 culture tests each removal rate of NH_4^+ -N was calculated as follows, 1st culture test (Fig.
 22 3): 0.35 kg N/m³/day, 2nd culture test (Fig. 4): 0.97 kg N/m³/day, and 3rd culture test (Fig.
 23 5): 0.70 kg N/m³/day. These values were similar to those obtained in Japanese piggery
 24 wastewater treatment (Joo et al., 2006) despite the different qualities of the wastewater in
 25 different places. These values are more than 100 times higher than those of the
 26 conventional nitrification and denitrification methods. *A. faecalis* strain No.4 has the
 27 following mechanism (Joo et al., 2005a).



28
 29 The production of N_2O was reported only by less than 1% of used ammonia, and
 30 almost no NO_2^- and NO_3^- were produced in the process. Moreover, the growth rate of *A.*
 31 *faecalis* strain No.4 was more than a few hundred times higher than that of nitrification
 32 bacteria. Thus, a higher proliferation rate leads to a smaller treatment reactor and a
 33 higher treatment rate when *A. faecalis* strain No.4 was used. Furthermore, approximately
 34 40% of NH_4^+ -N is used as N_2 gas, and the remaining 60% is used for microbial protein
 35 synthesis to form the cell mass of *A. faecalis* strain No.4 (Joo et al., 2005c). This indicates
 36 that cell mass production is larger than that in the conventional biological denitrification

1 process.

2 The conventional biological denitrification method consists of a nitrification process
3 using aerobic nitrifying bacteria and a denitrification process using facultative anaerobic
4 denitrifying bacteria. Therefore, an aeration-capable reaction tank for increasing DO in
5 the nitrification process and an anaerobic tank for the denitrification process are required.
6 In contrast, the biological denitrification system using *A. faecalis* strain No. 4 requires
7 only one aerobic reaction tank that can be aerated. Another property of *A. faecalis* strain
8 No.4 has been reported to effectively inhibit the growth of plant pathogenic fungi (Honda
9 et al., 1998).

10 In consequence, an aerobic one-step denitrification system using *A. faecalis* strain
11 No.4 can be proposed to remove ammonia and phytopathogens from piggery wastewater
12 with high efficiency and prevent water pollution in adjacent rivers (Fig.7).

14 **Acknowledgments**

15 We acknowledge Able Corporation (Tokyo) for providing us with a reactor system.

17 **Authorship**

18 J. Takahashi, M. Shoda, L. Jianhua, and L. Ning conceived and designed the study. Shoda,
19 Jian, and Ning gathered the data. J. Takahashi and M. Shoda wrote the manuscript.

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23 for-profit sectors.

25 **Conflict of Interest**

26 The authors declare there are no conflicts of interest.

28 **Ethical Approval**

29 Not applicable.

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1 **Figure Captions**

2 Fig. 1. Parallel increase in the world population to the global increase in nitrogen input
3 (FAOSTAT, 2021)

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5 Fig. 2. Location of a surveyed piggery and water qualities in the river basin

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7 Fig. 3. Fermenter (Type: BMJ-1L, ABLE Corp. Tokyo Japan) and sensors attached to
8 the reactor

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10 Fig. 4. Changes in ammonia removal by *A. faecalis* strain NO. 4 and DO at 300 ml/min
11 of aeration rate, at 30°C and 400 rpm of agitation speed (Initial pH8.9) with or without
12 20 mM citrate

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14 Fig. 5. Changes in ammonia removal and DO at 300 ml/min of aeration rate, at 30°C,
15 and 400 rpm of agitation speed (Initial pH8.0) with or without 10 mM citrate.

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17 Fig. 6. Changes in ammonia removal and DO at 300ml/min of aeration rate, at 30°C,
18 and 700 rpm of agitation speed (Initial pH8.0) with or without 10 mM citrate.

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20 Fig. 7. Biological denitrification system with an only aerobic one-step process using *A.*
21 *faecalis* No. 4 strain

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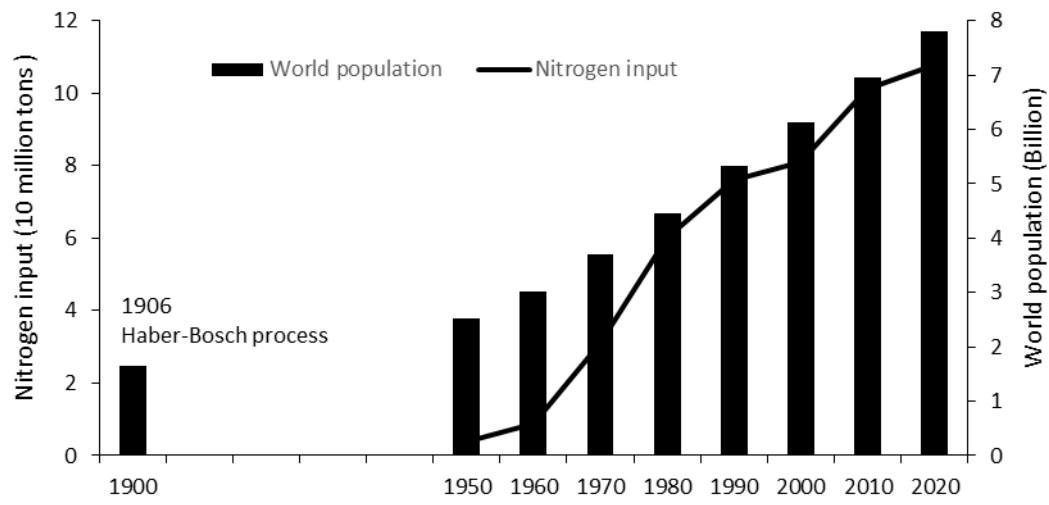


Fig. 1.

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Fig. 2

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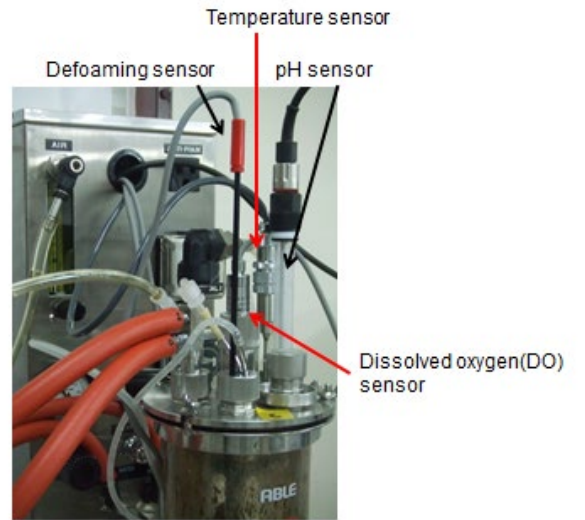


Fig. 3.

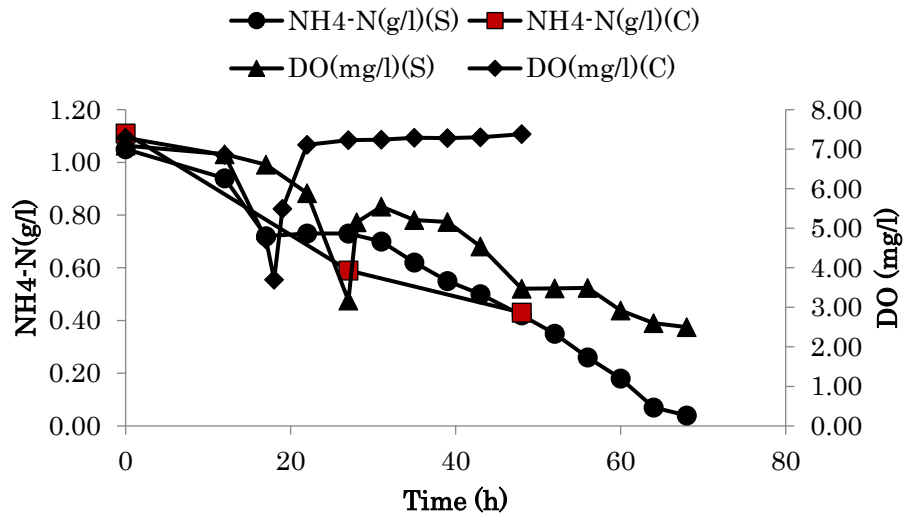


Fig. 4.

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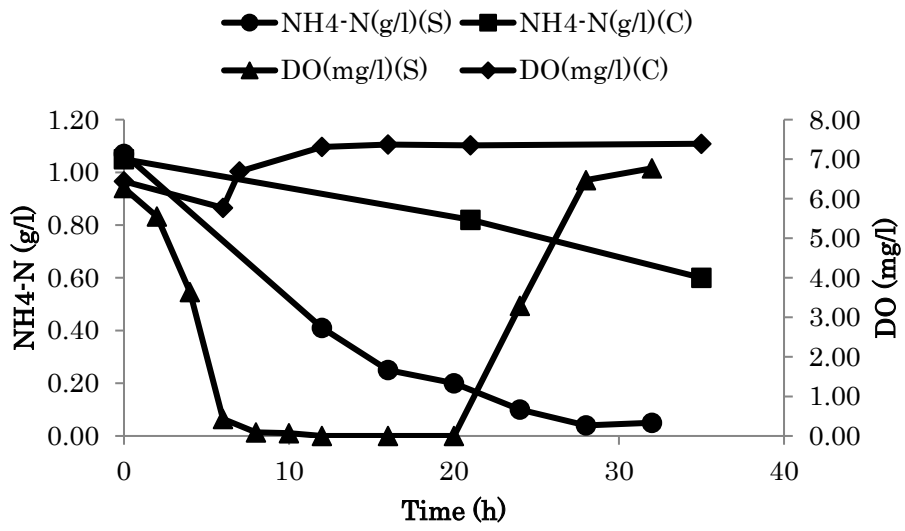


Fig. 5.

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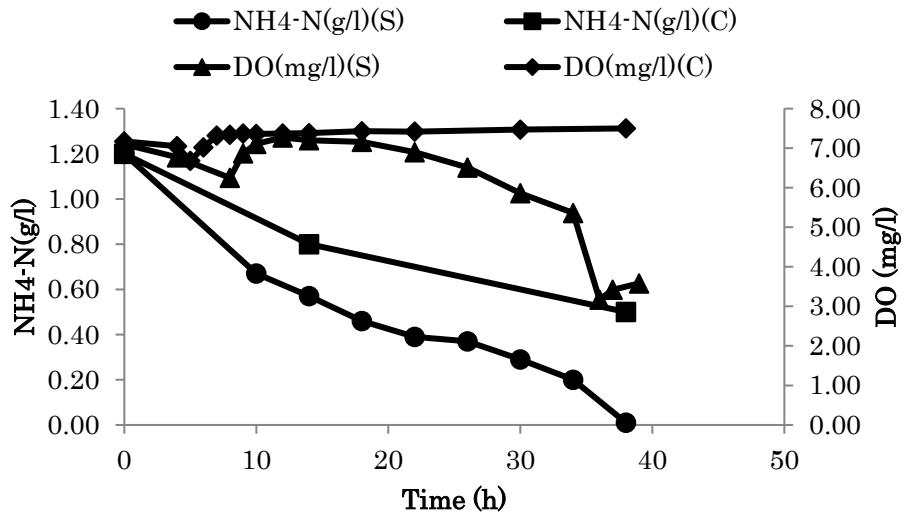


Fig. 6.

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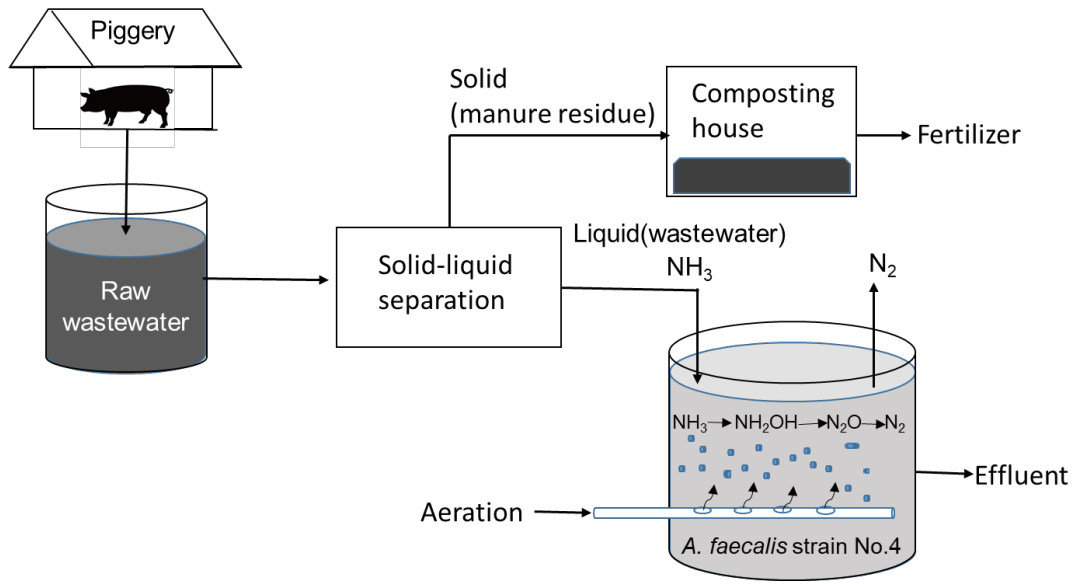


Fig. 7

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Table 1. Culture medium for *Alcaligenes faecalis* strain No.4

Dipotassium hydrogen phosphate (K_2HPO_4)	14 g /l
Potassium dihydrogen phosphate (KH_2PO_4)	6 g /l
Trisodium citrate dihydrate ($C_6H_5Na_3O_7 \cdot 2H_2O$)	15 g /l
Ammonium sulfate ($(NH_4)_2SO_4$)	2 g /l
Magnesium sulfate heptahydrate ($MgSO_4 \cdot 7H_2O$)	0.2 g/l
Trace element solution	2 ml

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Table 2. Culture medium for *Alcaligenes faecalis* strain No.4

K ₂ HPO ₄	14 g /l
KH ₂ PO ₄	6 g /l
C ₆ H ₅ Na ₃ O ₇ · 2H ₂ O	15 g /l
(NH ₄) ₂ SO ₄	2 g /l
MgSO ₄ ·7H ₂ O	0.2 g /l
Trace element solution	2 ml

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Table 3. Annual changes in water quality (temperature, pH, DO, and EC) in the downstream most reaches of the study area.

	River water			
	Temp (°C)	pH	DO (mg/l)	EC (mS/cm)
Jan	4.7	7.31	6.21	608
Feb	8.2	7.27	5.43	411
Mar	9.5	7.68	5.69	569
Apr	14.6	7.48	5.82	510
May	16.5	7.50	4.88	525
Jun	23.5	7.41	2.88	629
Jul	28.2	7.31	3.54	541
Aug	31.9	7.16	4.52	467
Sep	28.3	7.15	4.56	553
Oct	21.0	7.16	6.12	518
Nov	20.0	7.18	4.11	532
Dec	14.4	7.48	4.13	460
Mean±SD	18.4±8.6	7.34 ±0.17	4.82±1.06	527±61

Appendix 1. Correlation coefficients and their p values among the parameters in river water qualities

	Peason's r			
	Temp	pH	DO	EC
Temp	1			
pH	-0.51	1		
DO	-0.61	0.08	1	
EC	0.02	0.19	-0.16	1
	p value			
	Temp	pH	DO	EC
Temp	-			
pH	0.09	-		
DO	0.04	0.80	-	
EC	0.95	0.55	0.62	-