



# Safety and efficacy of adzuki bean extract in subjects with moderate to high LDL-C: a randomized trial

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1 **Safety and efficacy of adzuki bean extract in subjects with moderate to high**  
2 **LDL-C: a randomized trial.**

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21

22 **Introduction**

23 Adzuki bean (*Vigna angularis*), a member of the Fabaceae family, has long been  
24 widely cultivated and consumed in traditional dishes throughout East Asia. This legume  
25 is rich in dietary fibers, proteins, minerals, vitamin A, and vitamin B9 [1]. Adzuki beans  
26 are regarded as an ethnopharmacologically well-known folk medicine in Korea, China,  
27 and Japan [2]. In Japan, the beans are often boiled and sweetened with sugar to produce  
28 adzuki (red) bean paste for use in traditional confectioneries. During the boiling process,  
29 several water-soluble bioactive compounds, such as polyphenols and fibres [3] are  
30 released from the beans. However, the water containing these compounds is commonly  
31 discarded after boiling. To exploit these underutilized materials, ‘adzuki bean extract’  
32 has been developed from the released water obtained during adzuki bean paste  
33 production (Cosmo Foods Co., Ltd, Tokyo, Japan). The extract is purified and  
34 spray-dried to produce an adzuki bean extract powder that is rich in natural polyphenols,  
35 comprising approximately 59.3% of the powder (wt/wt). In our previous study, we  
36 partly determined that these polyphenols were chemically composed of approximately

37 38.2% (wt/wt) anthocyanidin glucosides and 61.8% proanthocyanidins (wt/wt) [4]. The  
38 main anthocyanidin glucosides in the product were found to be peonidin-3-rutinoside,  
39 followed by peonidin-3-(p-coumaroyl) glucoside, pelargonidin-3-O-glucoside, and  
40 malvidin-3-O-glucoside.

41 Recent interests have been focused on the biological activities of dietary polyphenols  
42 including cardiovascular protective, antioxidative, anti-inflammatory, anti-hypertensive,  
43 and anti-glycemic effects [5, 6]. Several studies have shown that adzuki bean-derived  
44 polyphenols can attenuate hypercholesterolemia and hyperglycemia as well as protect  
45 hepatic injury in animal models [7-9], although the effects of polyphenols on lipid  
46 metabolism are greater *in vitro* as compared to that *in vivo*.

47 In a previous study on testing the safety and efficacy of adzuki bean polyphenols, the  
48 data suggested that adzuki bean extract promotes lipid metabolism by disrupting lipid  
49 accumulation and adipocytokine production in animal and human adipocyte cultures.  
50 Furthermore, our research with animal models demonstrated that adzuki bean  
51 polyphenols can effectively inhibit hepatic lipid accumulation and reduce the plasma  
52 total cholesterol, low-density lipoprotein (LDL) cholesterol, and triglycerides (TG) with  
53 no detectable adverse effect [10]. These results raise the possibility that adzuki beans  
54 may be beneficial for the lipid metabolism in humans, including attenuation of  
55 hypercholesterolemia; however, there is a lack of research on the effects of dietary  
56 intake of purified adzuki bean extract in humans. Therefore, the present study aimed to  
57 determine the safety and efficacy of polyphenol-containing adzuki bean extract on the  
58 lipid metabolism in humans using a double-blind, 2-group parallel, placebo-controlled  
59 comparison study. The main outcomes measured were the percent changes in the plasma  
60 lipid composition, blood glucose, and blood pressure in comparison with baseline levels.  
61 The results of this study are expected to demonstrate the safety and potential  
62 phytotherapeutical applications of adzuki bean extract in humans.

63

## 64 **Materials and methods**

### 65 ***Extract and capsules preparation***

66 The adzuki bean extract tested was supplied by Cosmo Foods (Adzuginomoto<sup>®</sup>, Cosmo  
67 Foods Co., Ltd., Japan). Briefly, the extracts were obtained from boiling water used for  
68 the production of adzuki bean paste. The water was purified, concentrated, sterilized,  
69 and freeze-dried. The total concentration of polyphenols in the adzuki bean extract was  
70 determined to be 59.3% according to the Folin–Ciocalteu method using (+)-catechin as  
71 a standard [11]. The absorbance was read at 750 nm using a spectrophotometer  
72 (1600-UV; Shimadzu, Kyoto, Japan). The results were expressed in milligram of

73 (+)-catechin equivalents per gram of bean extract powder. All the tests were performed  
74 in triplicate.

75 The adzuki bean extract powder was sent to a supplement manufacturer (Nakanihon  
76 Capsule Co., Ltd., Gifu, Japan) for encapsulation. Table 1 shows the nutrient  
77 composition of the adzuki bean polyphenol capsules and placebo capsules prepared in  
78 this trial. Each adzuki bean extract capsule weighed 338 mg, whereas the placebo  
79 capsule weighed 333 mg. Each adzuki bean extract capsule contained 71.2 mg adzuki  
80 bean extract with 42.2 mg polyphenols. The subjects were given a total of six test  
81 capsules (total of 427.0 mg adzuki bean extract with 253.2 mg polyphenols) or placebo  
82 capsules on the daily basis. The placebo capsules were prepared from inert ingredients  
83 and were identical to the active capsules in their appearance and odor.

84 A previous research reported that the no-observed-adverse-effect level for adzuki bean  
85 extract was a dose of 2,000 mg/kg body weight, based on both single and repeated-dose  
86 toxicity studies. Another report suggested that the intake of 200 mg per day adzuki bean  
87 extract product for 1 week did not result in any changes in body weight, blood pressure,  
88 or lipid profiles in healthy men and women aged >20 years (Unpublished data).  
89 Furthermore, the daily intake of 880 mg to 1,960 mg adzuki bean juice for 12 weeks did  
90 not result in any adverse effects in 33 healthy women of an average age 21.2 years [12].

91

### 92 ***Subjects selection***

93 A total of 50 subjects aged 35–65 years with the LDL-C levels of 120–179 mg/dL were  
94 recruited from the volunteer databases registered at the Hokkaido Information  
95 University Center of Health Information Science through a screening test.

96 All the participants provided written informed consent before their enrollment. The  
97 exclusion criteria included individuals with hyperlipidemia, familial  
98 hypercholesterolemia, severe acute or chronic disease, surgery, unusually high and/or  
99 low blood pressure, severe anemia, pre or post menopause, allergic reaction to foods  
100 (particularly adzuki bean, pork, gelatin, and soybean), current use of any medication and  
101 supplement (particularly, eicosapentaenoic acid, docosahexaenoic acid, medium chain  
102 fatty acids, plant sterol, sesamin, turmeric, polyphenol, and dietary fiber), heavy smoker,  
103 alcohol abuse, pregnancy, concurrent or recent (within 30 days) intervention study  
104 participation, or any other medical reasons judged by the principal investigator.

105 The subjects participating in this study were randomly divided into two groups of 25  
106 participants each: an adzuki bean extract group and a control group. The adzuki bean  
107 group received 6 capsules per day of 427 mg adzuki bean extract, which is equivalent to  
108 a daily dosage of 253.2 mg adzuki bean-derived polyphenols. The control group

109 received 6 placebo capsules per day containing no adzuki bean extract. The  
110 randomization sequence was created using a permuted-block randomization design  
111 stratified by age, gender, and LDL-C level, where the block size was a multiple of 2.  
112 Each subject was allocated by a third-party data center according to the random  
113 allocation sequence into a relevant group. The third-party data center concealed the  
114 allocation information, including the subjects' personal data, and kept them secure. This  
115 information was revealed only after the laboratory and analytical data were fixed, and  
116 the method of statistical analysis was finalized.

117 A CONSORT (consolidated standards of reporting trials diagram outlining the  
118 participant recruitment is depicted in Fig. 1. The recruited cohort comprised of  
119 middle-aged adults (mean age:  $54.8 \pm 7.3$  years), and the majority of the participants  
120 were women (90%). A total of 50 participants were recruited: 25 were allocated to the  
121 adzuki bean extract group and 25 to the placebo group. Prior to the start of the  
122 experimental trial, one subject allocated to the placebo group dropped out for personal  
123 reasons. Finally, 49 subjects completed this trial (25 in the adzuki bean extract group  
124 and 24 in the placebo group).

125

### 126 ***Study design***

127 The clinical study was based on a double-blind, placebo-controlled, parallel intervention  
128 study design. The timeline and schedule of events for this study is shown in Fig. 2.  
129 During the 8-week treatment period, the subjects were given either six test capsules or  
130 six placebo capsules on a daily basis after a washout period of 1 week during which the  
131 patients received placebo treatment.

132 The subjects were instructed to create four scheduled visits to the Hokkaido Information  
133 University Center of Health Information Science during screening and at weeks 0  
134 (baseline), 4, and 8 of the treatment period. At each visit during the treatment period,  
135 body composition measurements were taken, including body weight (BW), body mass  
136 index (BMI), and body fat percentage (BFP). A doctor interviewed each subject and  
137 recorded their vital signs, specifically pulse rate, temperature, respiration rate, and blood  
138 pressure. During the study, the subjects were asked not to change their daily activities,  
139 including medications, exercise routines, and food consumption. The subjects were not  
140 allowed to take concomitant supplements and functional healthy foods that were  
141 believed to interfere with the interpretation of the study data. The primary outcomes  
142 measured were the LDL cholesterol levels. The secondary outcomes measured were the  
143 blood lipid profile, blood sugar levels, and markers of oxidative stress, including  
144 oxidized LDL (ox-LDL), thiobarbituric acid reactive substance (TBARS), adiponectine,

145 body composition (BW, BMI, and BFP), and blood pressure.

146 All the trial participants provided informed written consent prior to undergoing any of  
147 the tests and procedures needed to assess eligibility. The study protocol was approved  
148 by the Ethics Committee of Hokkaido Information University in conformity with the  
149 Helsinki Declaration (No.2015-29). This study was registered in UMIN (No.  
150 UMIN000021975).

151

### 152 ***Anthropometric and blood pressure measurements***

153 Body weight (BW), height, blood pressure, and pulse rate were measured at each  
154 evaluation point. The BW was determined using the Body Composition Analyzer  
155 DC-320 (Tanita Corp., Tokyo, Japan) capable of calculating percent muscle and percent  
156 fat mass. BMI was calculated based on the BW and height recorded for each participant.  
157 The blood pressure and pulse rate were determined using the Automatic Blood Pressure  
158 Monitor HEM-7080IC (Omuron Colin Co., Ltd., Tokyo, Japan) with individuals in a  
159 seated position.

160

### 161 ***Blood collection and laboratory analyses***

162 Venous blood samples were collected at weeks 0, 4, and 8 of the experimental period.  
163 The subjects were asked to fast for 12 h prior to the blood test, and blood collection was  
164 performed at the Hokkaido Information University Center of Health Information  
165 Science. Each blood sample was analyzed to determine the plasma lipid profile (i.e.,  
166 total cholesterol, triglycerides, high-density lipoprotein (HDL) cholesterol, LDL  
167 cholesterol, and arteriosclerosis index); blood glucose status (i.e., fasting blood glucose  
168 level and HbA1c); complete blood count; white blood cell (WBC) count; red blood cell  
169 (RBC) count; hemoglobin content (Hb); hematocrit value (Ht); platelet count (Plt); liver  
170 function [i.e., aspartate aminotransferase (AST), alanine aminotransferase (ALT),  
171 gamma-glutamyl transpeptidase ( $\gamma$ -GTP), alkaline phosphatase (ALP), and lactate  
172 dehydrogenase (LDH)]; kidney function [i.e., blood urea nitrogen (BUN), creatinine  
173 (Cr), and uric acid (UAC)]; and plasma protein [i.e., total plasma protein (TP), albumin  
174 (Alb), total bilirubin (T-Bil) and albumin-globulin ratio (A/G ratio)]. Oxidative stress  
175 markers (i.e., oxidized LDL and TBARS) and adiponectin were determined only at  
176 weeks 0 and 8 of the experimental period.

177 Hematological tests were conducted at the Sapporo Clinical Laboratory, Inc. (Sapporo,  
178 Japan). Ox-LDL and TBARS were measured using the ox-LDL ELISA Kit (Sekisui  
179 Medical Co., Ltd., Tokyo, Japan) and TBARS Assay Kit (Cayman Chemical, Michigan,  
180 USA). The TG, TC, HDL-C, and LDL-C were measured by the free glycerol method,

181 cholesterol oxidase method, selective inhibition method, and selective solubilization  
182 method, respectively. WBC, RBC, Hb, Ht, and Plt were measured by flow cytometry  
183 method, electrical resistivity measurement, sodium lauryl sulfate-hemoglobin method,  
184 and electrical resistivity measurements, respectively. The AST, ALT,  $\gamma$ -GTP, ALP, and  
185 LDH were measured by the Japan Society of Clinical Chemistry reference methods.  
186 BUN, Cr, and UAC were measured by the urease-GLDH method, enzyme assay, and  
187 uricase-peroxidase method, respectively. TP was determined by the Biuret method, Alb  
188 was measured by the BCG method, T-Bil was measured by the Vanadate oxidation  
189 method, and A/G ratio was calculated from measured total protein, measured albumin,  
190 and calculated globulin.

191

### 192 ***Statistical analysis***

193 The sample size was statistically determined to obtain a power of 80% with an alpha  
194 error of 0.05. To demonstrate the postulated change in LDL-C at week 8 (0.50 reduction  
195 with a standard deviation of 0.50), a sample size of 40 (20 in the test group and 20 in the  
196 placebo group) was required. Assuming a 20% loss to follow-up, 50 subjects were  
197 included.

198 The mean and standard deviation of age and other parameters were calculated for each  
199 group. Two-way analysis of variance (ANOVA) was performed to assess the effect of  
200 diet and treatment period as well as interaction between diet and period on biochemical  
201 measures. Changes in subject value in each group were analyzed using Tukey's honestly  
202 significant difference (HSD) test. All statistical analyses were performed using SPSS  
203 Statistic20 (IBM, Armonk, NY, USA).  $p < 0.05$  were considered to be significant.

204

## 205 **Results**

### 206 ***Baseline characteristics***

207 Table 2 shows the baseline clinical and laboratory characteristics of the participants. No  
208 significant differences were noted at the baseline between the adzuki bean extract group  
209 and the placebo group for age, BW, BMI, BFP, serum lipid, glucose composition, or  
210 adiponectin. These results indicate the appropriate assignment of the subjects into the  
211 two groups.

212

### 213 ***Physical measurements***

214 To determine the effects of adzuki bean extract supplementation on body composition,  
215 changes in BW, BFP, and BMI were evaluated. Measurements of the body composition  
216 were found to be stable across the trial period between the two groups. BW varied by

217 <0.30 kg and the derived fat mass values varied by <1.35% for all groups. None of the  
218 measurements showed a significant difference between the two groups, based on a  
219 Student's t-test,  $p < 0.05$  (Data not shown).

220

## 221 **Biochemical measurements**

### 222 *Serum lipid concentrations*

223 Table 3 shows the changes in the biochemical measures for the two groups during the  
224 experimental period. The results of two-way ANOVA analysis revealed that (i) diet  
225 (adzuki bean extract supplementation) significantly affected the changes in HDL-C  
226 concentration ( $p = 0.009$ ); (ii) period (weeks) affected the changes of both TC and  
227 HDL-C concentrations ( $p = 0.007$  and  $p = 0.022$ , respectively); and (iii) no interaction  
228 between the diet and period was observed. The  $\Delta$ HDL-C concentration ( $3.76 \pm 7.79$   
229 mg/dl) was significantly increased in the adzuki bean extract group compared with that  
230 in the placebo group ( $-0.08 \pm 6.03$  mg/dl) at week 4. At 8 weeks, both the groups  
231 showed reduced  $\Delta$ HDL-C concentrations compared with those at week 4, with the  
232 adzuki bean extract group showing a return to the baseline level ( $0.36 \pm 5.36$  mg/dl) and  
233 the placebo group showing a decrease to below the baseline level ( $-3.17 \pm 7.79$  mg/dl).  
234 There were no significant changes in LDL-C levels between the adzuki polyphenol  
235 group and placebo group after 4 and 8 weeks of capsule supplementation, as compared  
236 to baseline levels. Similarly, the TG and TC concentrations were largely unchanged  
237 following supplementation.

238 Given the possibility that adzuki bean extract retain HDL-C as opposed to the placebo, a  
239 subgroup analysis was performed to study the potential effects further. Participants were  
240 divided into subgroups on the basis of TG levels: the subgroups with low TG levels ( $<$   
241 72mg/dl) consisted of 12 participants in the placebo group and 10 participants in the  
242 adzuki bean extract group and the subgroups with high TG levels ( $\geq 72$  mg/dl) consisted  
243 of 12 participants in the placebo group and 15 participants in the adzuki bean extract  
244 group. Fig. 3 shows the changes in the plasma HDL-C concentrations of subjects with  
245 low TG levels. Interestingly, there was a significant difference ( $p = 0.011$ ) between the  
246 two groups with low TG levels when examining the changes in the plasma HDL-C  
247 concentrations after 8 weeks of supplementation. Participants in the placebo group  
248 showed a significant reduction in the  $\Delta$ HDL-C concentration ( $-6.92 \pm 1.68$  mg/dl) as  
249 compared to those in the adzuki bean extract group ( $0.30 \pm 1.98$  mg/dl). Among the  
250 participants with high TG levels, no significant differences were observed in the HDL  
251 cholesterol levels between the adzuki bean extract and the placebo groups (results not  
252 shown).



253

254 ***Blood glucose status***

255 The blood glucose status was determined by measuring the fasting blood glucose levels  
256 and HbA1c levels (Table 3). These measures were largely unchanged in both the adzuki  
257 bean extract group and the placebo group following supplementation, indicating that  
258 adzuki bean polyphenols do not affect glycometabolism.

259

260 ***Oxidative stress markers and adiponectin***

261 The effects of adzuki bean extract on oxidative stress markers and adiponectin were  
262 evaluated in the adzuki bean and placebo groups throughout the study period. There  
263 were no significant differences between the groups (Table 3).

264

265 ***Adverse effects***

266 No adverse effects related to peripheral blood analysis, liver function tests, and kidney  
267 function occurred in any of the participants in the two groups throughout the  
268 experimental period. These results confirmed the safety of adzuki bean extract intake at  
269 the levels received by participants in this study (6 capsules of 71.2 mg each per day;  
270 total daily intake = 427 mg) over a period of 8 weeks.

271

272 **Discussion**

273 Naturally derived bioactive compounds can have beneficial health effects with potential  
274 applications in the medicinal field. Therefore, the use of herbal medicine as a  
275 pharmacologic modality in the prevention and treatment of human diseases has received  
276 widespread attention. For centuries, adzuki bean has been an important ingredient in  
277 traditional herbal medicine in China and Korea owing to its beneficial effects on the  
278 diuretic functions and other diseases such as dropsy and beriberi [13].

279 In the current human trial, the tolerability and efficacy of adzuki bean extract capsules  
280 taken daily were investigated by randomized, double-blind, placebo-controlled, parallel  
281 intervention study design. The current study was designed to explore the tolerability of  
282 8 weeks of supplementation with adzuki bean extract capsules in subjects with  
283 moderate-to-high LDL-C levels (120–179 mg/dl) as well as to examine the changes in  
284 the basic measures of body mass/composition and metabolic parameters. Subjects with  
285 moderate-to-high LDL-C levels were selected for this study due to their increased risk  
286 for developing heart diseases.

287 The participants in this study received a daily total of 427.0 mg adzuki bean extract  
288 containing 253.2 mg polyphenols, specifically anthocyanidins and proanthocyanidins.

289 The participants in the group receiving adzuki bean extract supplements showed  
290 significant increase in their HDL-C concentrations at week 4 as opposed to those in the  
291 placebo group, who showed a gradual decrease in their HDL-C levels over time.  
292 Subgroup analysis of the participants with low TG levels revealed that the intake of  
293 adzuki bean extract allowed patients to maintain their TG concentrations during the  
294 study period, while analysis of those in the placebo group showed a gradual increase in  
295 their TG concentrations over the same time period. To the best of our knowledge, this is  
296 the first human placebo-controlled trial to demonstrate both the safety of adzuki bean  
297 extract and its favorable effect on lipid metabolism, specifically on the maintenance of  
298 HDL-C levels. HDL-C has a direct effect on numerous cell types that influence the  
299 cardiovascular and metabolic health. It is known to decrease white adipose tissue mass,  
300 increase energy expenditure, and promote the production of adipose-derived cytokine  
301 adiponectin [14]. Despite the differences observed in the current study in terms of  
302 HDL-C levels, there were no significant differences in adiponectin levels following  
303 supplementation with adzuki bean extract supplements.

304 The results of this study partially support the findings of our previous animal  
305 experiments that indicated an association between polyphenol intake and a favorable  
306 lipid profile [10]. These effects are likely a result of the two main polyphenols in the  
307 adzuki bean extract: anthocyanins and proanthocyanidins. Anthocyanins, which are the  
308 main component of adzuki bean polyphenols, have been reported in previous studies to  
309 have beneficial effects on inflammatory disorders, lipid profile, platelet activation, and  
310 obesity-related disorders in human studies, although the literature remains controversial  
311 [15]. Proanthocyanidins (otherwise known as condensed tannins) are oligomers or  
312 polymers of flavan-3-ols (e.g., catechin and epicatechin) and have been linked to health  
313 benefits, such as hypolipidemic, anti-hypertensive, anti-inflammatory, and antioxidant  
314 effects [16].

315 Recently, Zhang et al. demonstrated that the plasma HDL-C levels significantly  
316 increased among hypercholesterolemic individuals after supplementation with purified  
317 anthocyanins (320 mg/day) for 24 weeks, accompanied by significant reductions in the  
318 LDL-C levels [17]. Furthermore, a 12-week study in which 120 dyslipidemic subjects  
319 were administered berry-derived anthocyanin supplements (320 mg/day) reported an  
320 increase in their HDL-C levels and a decrease in their LDL-C levels, with no changes in  
321 the TC or TG levels [18].

322 In addition, the administration of low molecular weight procyanidin rich extract from  
323 the French maritime pine bark (150 mg/day) for 5 weeks to stage-1-hypertension  
324 subjects was shown to significantly elevate the serum HDL-C levels with no changes in

325 the TC, LDL-C, or TG levels [19]. In contrast, another study reported that the TC, TG,  
326 HDL-C, and LDL-C levels were unaffected by the consumption of 150 mg/day of  
327 polyphenolic extract from grapes rich in procyanidins for 4 weeks among 24 healthy  
328 men considered to be heavy smokers [20]. Likewise, another study using a  
329 polyphenol-rich elderberry extract (500 mg/day) showed no significant changes in the  
330 markers of liver and kidney functions or in CVD risk, including the plasma HDL-C,  
331 LDL-C, and TG concentration, in healthy postmenopausal women after 12 weeks of  
332 supplementation [21]. There are several possible reasons for the contradictory results  
333 observed in these types of studies. One explanation is that the polyphenol family  
334 encompasses very diverse compounds with different levels of bioavailability, meaning  
335 that the results obtained for one polyphenol cannot be generalized to others.  
336 Contradictions may also arise due to the differences in the doses of polyphenols and  
337 foods used in each study, the duration of each intervention and the particular subjects  
338 used in each study.

339 Recent studies have shown that serum HDL-C concentrations are inversely related to  
340 the risk of developing cardiovascular disease. Therefore, maintaining and/or raising  
341 HDL-C concentration has been a viable and promising way to reduce the risk of  
342 cardiovascular mortality. However, increasing a patient's HDL-C levels has proven to  
343 be difficult using the existing medications, including niacin, due to the presence of  
344 various side-effects. Naturally derived functional ingredients have the potential to boost  
345 the HDL-C levels, and they are generally considered to be less toxic than synthetic  
346 drugs. Cross-over and randomized controlled trials in metabolic syndrome subjects with  
347 single phenolic compounds or specific foods, beverages, or extracts have indicated that  
348 polyphenol consumption can improve the lipid profiles by significantly reducing the  
349 LDL-C levels; however, only a few studies have shown significant effects on HDL-C  
350 concentrations [22]. Moreover, there is a general consensus that it is difficult to  
351 modulate the HDL-C levels using food ingredients. Therefore, the current findings  
352 could be important in that they present one of the few food ingredients available that  
353 modulate HDL-C levels in subjects with moderate-to-high LDL-C levels.

354 The results of our study combined with previous work have shown that oral  
355 administration of polyphenol containing adzuki bean extract is safe and well-tolerated in  
356 human subjects. A study by Nutr et al. indicated that adzuki bean-derived polyphenols  
357 can be tolerated by humans in large doses, even as high as 1960 mg/day, without any  
358 apparent toxicity [12], which is consistent with our findings that no adverse effects  
359 occurred in any of the participants who took adzuki bean extract. Specifically, we did  
360 not observe any adverse effects in terms of glucose metabolism, peripheral blood

361 analysis and liver function, or kidney function, which confirmed the safety of adzuki  
362 bean polyphenols. The study results also revealed a relationship between adzuki  
363 polyphenol supplementation and capability of maintaining HDL-C levels, indicating  
364 that adzuki bean extract may be a potential phytotherapeutic treatment for modulating  
365 lipid homeostasis without any negative side-effects. However, the interpretation of the  
366 results should be made cautiously, as the current study contained a small sample size  
367 and was conducted over a short duration.

368 Certain limitations of our study include a small sample size, consisting primarily of  
369 women, and the absence of a dose-response design. More research is needed to  
370 determine factors such as long-term safety, effective dosing range, optimal timing of  
371 administration, effects of dietary fat content, potential drug interactions, impact when  
372 co-administered with lipid-altering medications, and generalizability to other patient  
373 groups.

374 In conclusion, our study findings show that adzuki bean extract administered once a day  
375 can result in increased  $\Delta$ HDL-C concentration. This finding suggests that adzuki bean  
376 polyphenols are a novel food ingredient capable of maintaining lipid homeostasis by  
377 modulating the HDL-C levels, without causing any adverse effects. This short-term  
378 study represents the first step in establishing the practicality, safety, and  
379 HDL-C-maintaining effects of adzuki bean extract in subjects with moderate-to-high  
380 LDL-C levels.

381

#### 382 **Authors contribution**

383 K. Satoh, J. Nishihara, K. Ohba and M. Fukushima conceived and designed the research.  
384 K. Satoh and K. Sasaki performed experiments. R.Nagata and K.Satoh performed  
385 statistical analysis. T. Kitano-Okada, K. Han and N. Mikami drafted manuscript. All  
386 authors read and approved the final manuscript.

387

#### 388 **Disclosure statement**

389 No potential conflict of interest was reported by the authors.

390

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395

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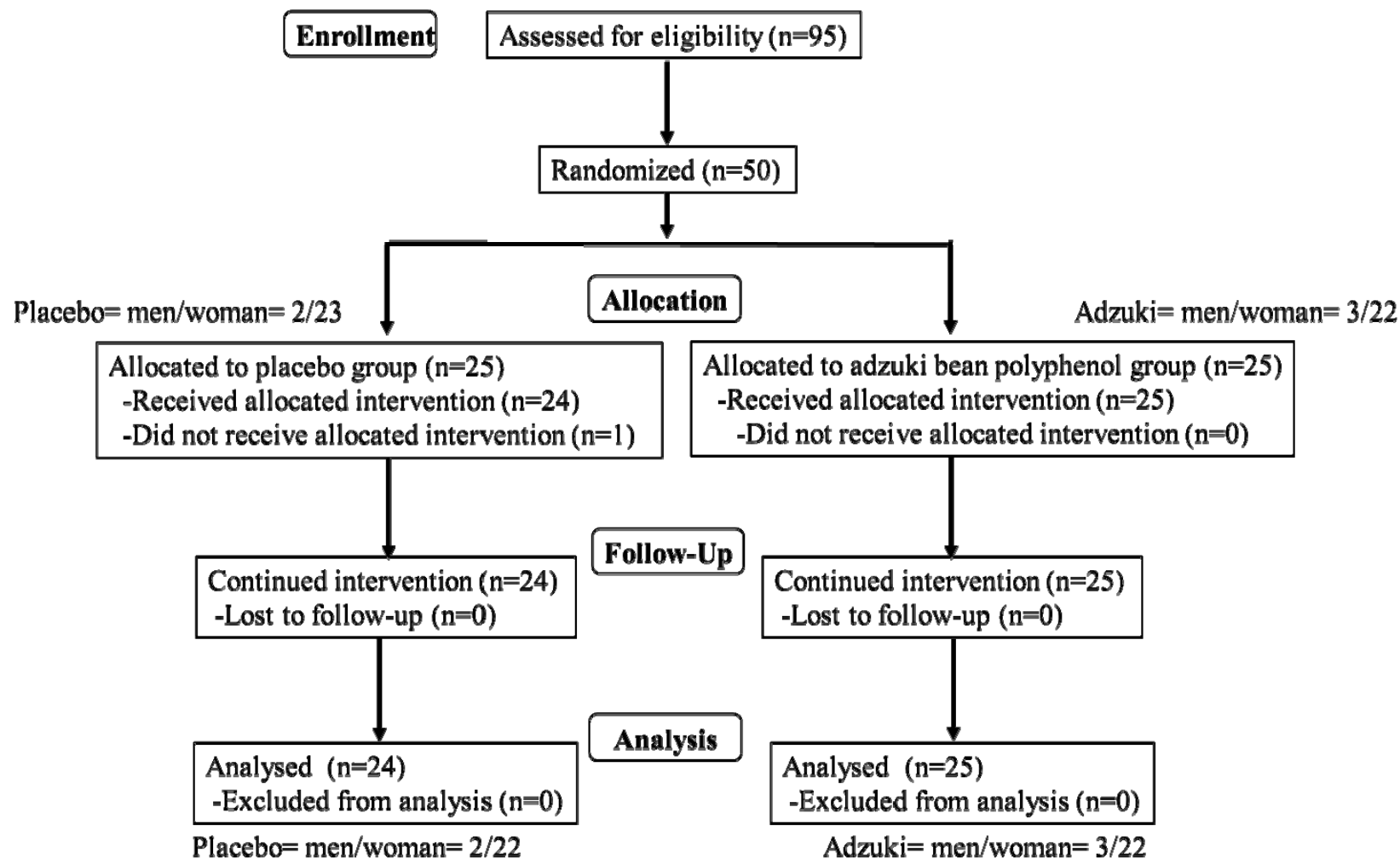


Figure 1 – CONSORT diagram to indicate participant flow and retention for the 8-week double-blind, placebo-controlled trial of adzuki bean extract supplementation (n = number of participants)



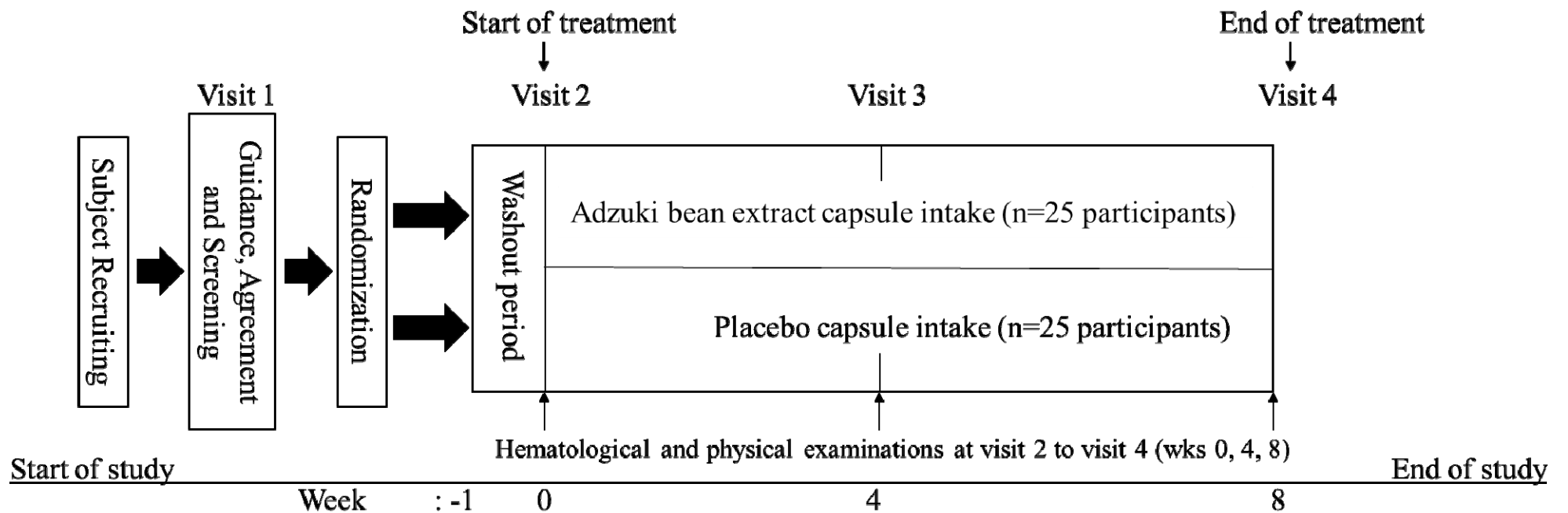


Fig.2 – Schedule of events for this study

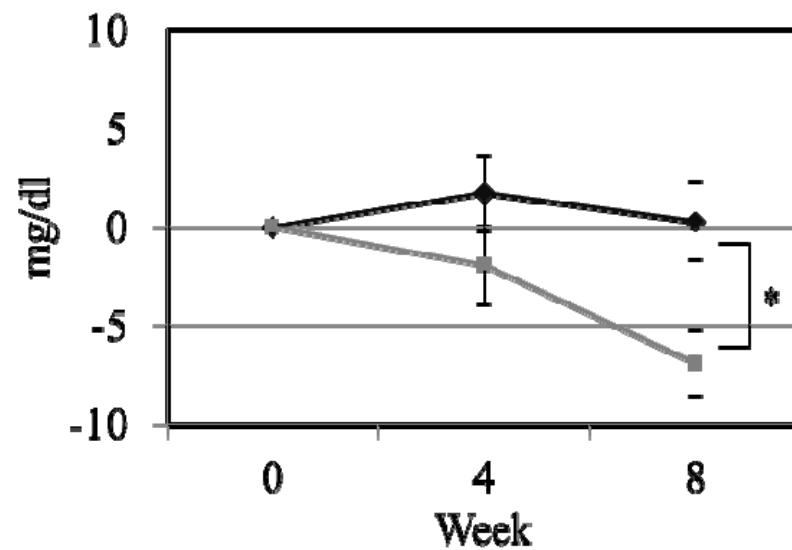


Fig. 3 – Changes in plasma HDL-C in subjects with low TG levels (<72mg/dl) compared to baseline. Gray bar: placebo group (n = 12), black bar: adzuki bean extract group (n = 10). Values are shown as mean  $\pm$  standard deviation. \* $p < 0.05$

Table 1 - Nutrient composition of each adzuki bean extract capsule (338 mg) and the placebo capsule (333 mg) used in this study. Participants received six capsules per day.

	Adzuki bean extract capsule	Placebo capsule
Calories (kcal)	1.03	1.29
Water (g)	0.01	0.01
Proteins (g)	0.06	0.05
Lipids (g)	0.01	0.01
Carbohydrates (g)	0.17	0.25
Ash (g)	0.01	0.01
Sodium (mg)	0.16	0.49
Adzuki bean extract (mg)	71.2	0.0
» Polyphenol (mg)	42.2	0.0

Analysis methods: Calories were calculated by formula: proteins (g/100g) × 4 kcal/g + lipids (g/100g) × 9 kcal/g + carbohydrates (g/100g) × 4 kcal/g + fibre (g/100g) × 2 kcal/g; Water, atmospheric heat drying method; protein Kjeldahl method; lipid, acid digestion; carbohydrates were calculated by formula: 100-(water + protein + lipid + fiber); ash, direct ashing method; sodium, atomic absorption analysis method. Polyphenol content was determined by Folin–Ciocalteu’s method using (+)-catechin as a standard.

**Table 2 – Baseline demographic, physical and biochemical measures for participants in the adzuki bean extract group and the placebo group.**

	Adzuki bean extract capsule group	Placebo capsule group	<i>p</i> value
Subjects, n	25	24	-
Males, n (%)	3 (12.0%)	2 (8.3%)	1.000
Age, years	54.9 ± 7.5	52.3 ± 7.0	0.225
<b>Physical Measures</b>			
BW, kg	54.31 ± 7.00	54.09 ± 10.37	0.932
BMI, kg/m <sup>2</sup>	21.62 ± 2.51	21.49 ± 3.15	0.871
BFP, %	28.73 ± 5.74	28.08 ± 6.23	0.706
<b>Biochemical Measures</b>			
TG, mg/dl	82.00 ± 34.06	73.21 ± 26.03	0.317
TC, mg/dl	235.88 ± 20.36	241.13 ± 24.12	0.414
HDL-C, mg/dl	71.20 ± 12.04	77.37 ± 17.07	0.149
LDL-C, mg/dl	155.0 ± 11.2	157.5 ± 12.1	0.465
MDA-LDL	101.36 ± 16.18	96.33 ± 21.94	0.365
TBARS	4.03 ± 0.89	3.95 ± 0.76	0.727
Glucose, mg/dl	88.52 ± 6.20	86.96 ± 7.30	0.423
HbA1c, %	5.44 ± 0.30	5.36 ± 0.24	0.299
Adiponectin, µg/ml	12.51 ± 6.17	12.85 ± 5.65	0.841

BW, body weight; BMI, body mass index; BFP, body fat percentage; TG, triacylglycerol; TC, total cholesterol; HDL-C, high density lipoprotein cholesterol; LDL-C, low density lipoprotein cholesterol; MDA-LDL, malonyldialdehyde low density lipoprotein; TBARS, thiobarbituric acid reactive substance; HbA1c, Hemoglobin A1c. Values shown are mean ± standard deviation. Changes in subject values were analysed using Student's t-test to compared the mean of adzuki polyphenol group and the placebo group at each test point.

Table 3 – Biochemical measures for participants in the adzuki bean extract group and the placebo group

		Changes in value at week 4	Changes in value at week 8	Two-way ANOVA ( <i>p</i> )		
				Diet	Period	Interaction
<b>Biochemical Measures</b>						
LDL-C, mg/dl	Placebo	7.50 ± 17.09	-1.67 ± 15.69	0.486	0.078	0.388
	Adzuki polyphenol	6.92 ± 14.86	3.76 ± 20.33			
TG, mg/dl	Placebo	2.54 ± 19.75	5.50 ± 35.00	0.315	0.884	0.695
	Adzuki polyphenol	-0.84 ± 27.13	-2.20 ± 24.55			
TC, mg/dl	Placebo	11.42 ± 18.81	-1.08 ± 18.48	0.235	0.007	0.624
	Adzuki polyphenol	14.12 ± 16.75	5.40 ± 21.61			
HDL-C, mg/dl	Placebo	-0.08 ± 6.03	-3.17 ± 7.97	0.009	0.022	0.910
	Adzuki polyphenol	3.76 ± 7.79	0.36 ± 5.36			
Glucose, mg/dl	Placebo	-1.13 ± 4.32	-0.67 ± 4.85	0.434	0.193	0.418
	Adzuki polyphenol	-2.60 ± 4.82	-0.64 ± 4.23			
HbA1c, %	Placebo	-0.05 ± 0.08	-0.09 ± 0.11	0.568	0.176	0.765
	Adzuki polyphenol	-0.05 ± 0.11	-0.07 ± 0.14			